

# Time–Frequency Analysis

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- Discretize  $f = \int \int V_g f(t, \nu) M_\nu T_t g \, d\nu dt$ , i.e., find  $g$ , sampling set  $\{(t_j, \nu_j)\}_{j \in J}$  such that

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- If  $\{M_{nb} T_{ma} g\}$  is a **Riesz basis** for  $\overline{\text{span}\{M_{nb} T_{ma} g\}}$ , i.e.,  $\{c_{m,n}\} \mapsto \sum_{m,n} c_{m,n} M_{nb} T_{ma} g$  is bounded and stable, then  $ab \geq 1$ .

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- Balian–Low. If  $\{M_{nb} T_{ma} g\}$  is a frame or a Riesz basis and  $ab = 1$ , then

$$\int x^2 |g(x)|^2 \, dx \cdot \int \gamma^2 |\hat{f}(\gamma)|^2 \, d\gamma = \infty$$



- HRT conjecture: For any  $J$  finite,  $g \in L^2$ , the family  $\{M_{\nu_j}T_{t_j}g\}_{j \in J}$  is linear independent.

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- For any  $g \in L^2$ , is  $\{g(x), g(x - 1), e^{2\pi i x}g(x), e^{2\pi i \sqrt{2}x}g(x - \sqrt{2})\}$  linear independent?

# Useful operator representations

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operator  $H$

$Hf(x)$

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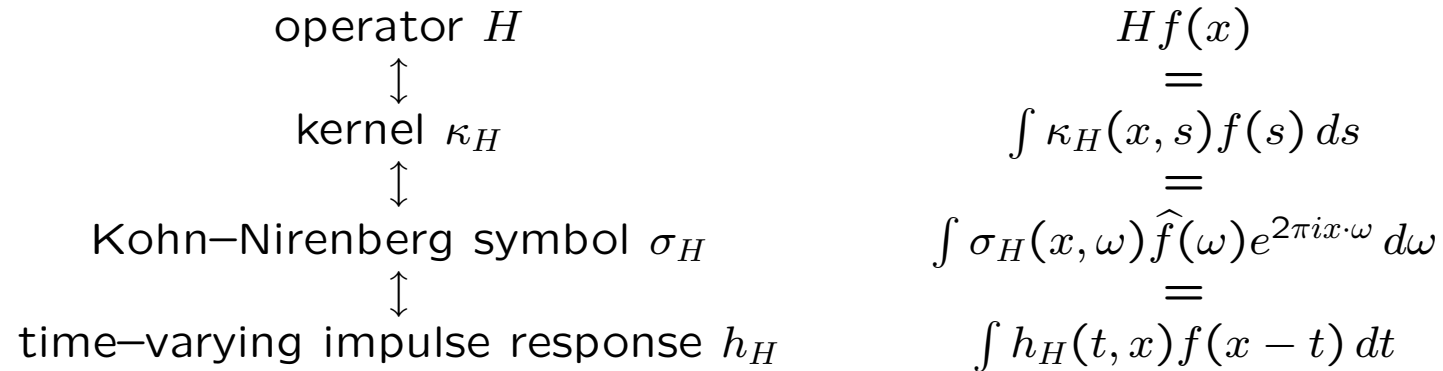
operator  $H$   
 $\updownarrow$   
kernel  $\kappa_H$

$$Hf(x) = \int \kappa_H(x, s) f(s) ds$$

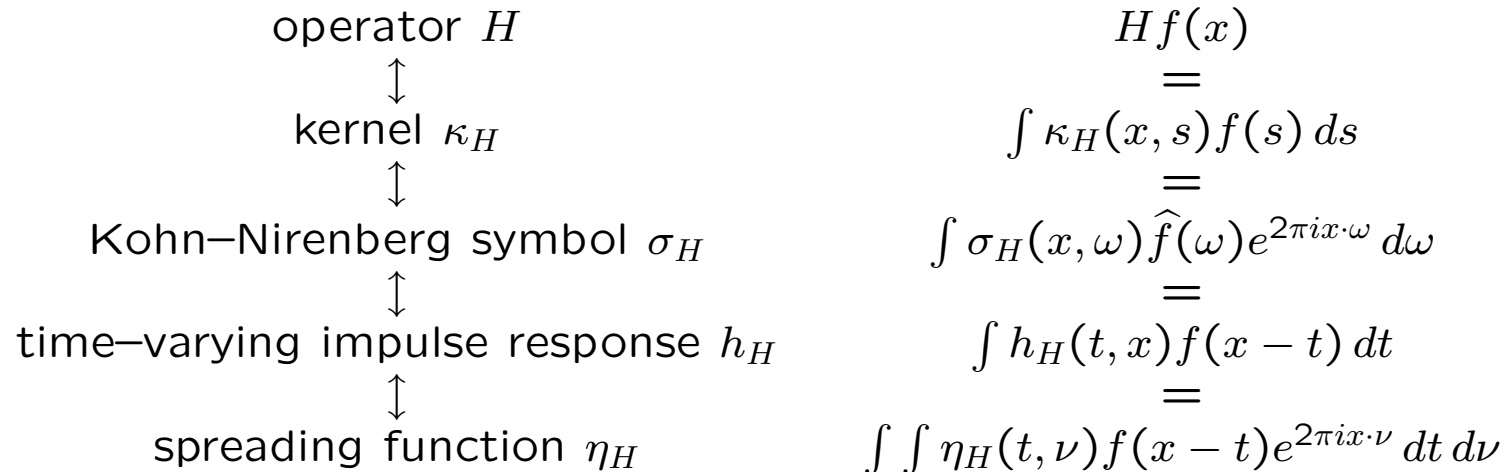
## Useful operator representations

$$\begin{array}{ccc} \text{operator } H & & Hf(x) \\ \updownarrow & & = \\ \text{kernel } \kappa_H & & \int \kappa_H(x, s) f(s) ds \\ \updownarrow & & = \\ \text{Kohn–Nirenberg symbol } \sigma_H & & \int \sigma_H(x, \omega) \widehat{f}(\omega) e^{2\pi i x \cdot \omega} d\omega \end{array}$$

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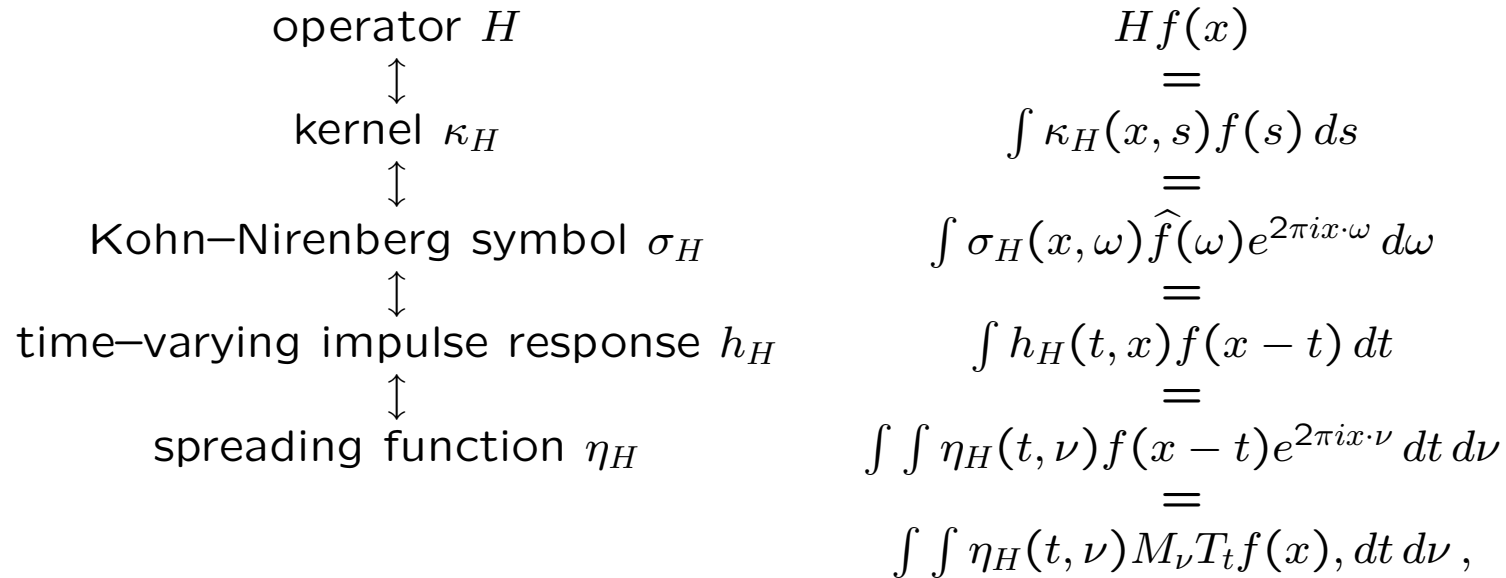


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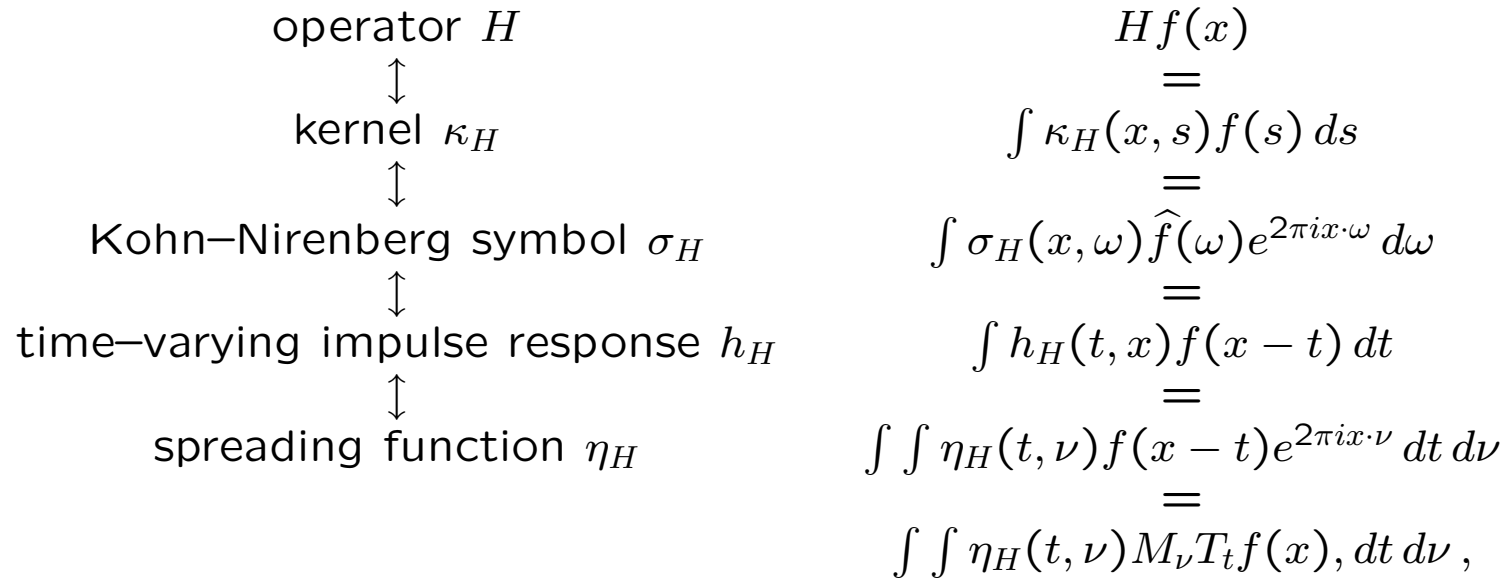


## Useful operator representations

operator $H$	$Hf(x)$
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kernel $\kappa_H$	$\int \kappa_H(x, s) f(s) ds$
↕	=
Kohn–Nirenberg symbol $\sigma_H$	$\int \sigma_H(x, \omega) \widehat{f}(\omega) e^{2\pi i x \cdot \omega} d\omega$
↕	=
time–varying impulse response $h_H$	$\int h_H(t, x) f(x - t) dt$
↕	=
spreading function $\eta_H$	$\int \int \eta_H(t, \nu) f(x - t) e^{2\pi i x \cdot \nu} dt d\nu$
	=
	$\int \int \eta_H(t, \nu) M_\nu T_t f(x), dt d\nu,$

(Translation (time–shift)  $T_t f(x) = f(x - t)$ , Modulation (frequency–shift)  $M_\nu f(x) = e^{2\pi i \nu x} f(x)$ )

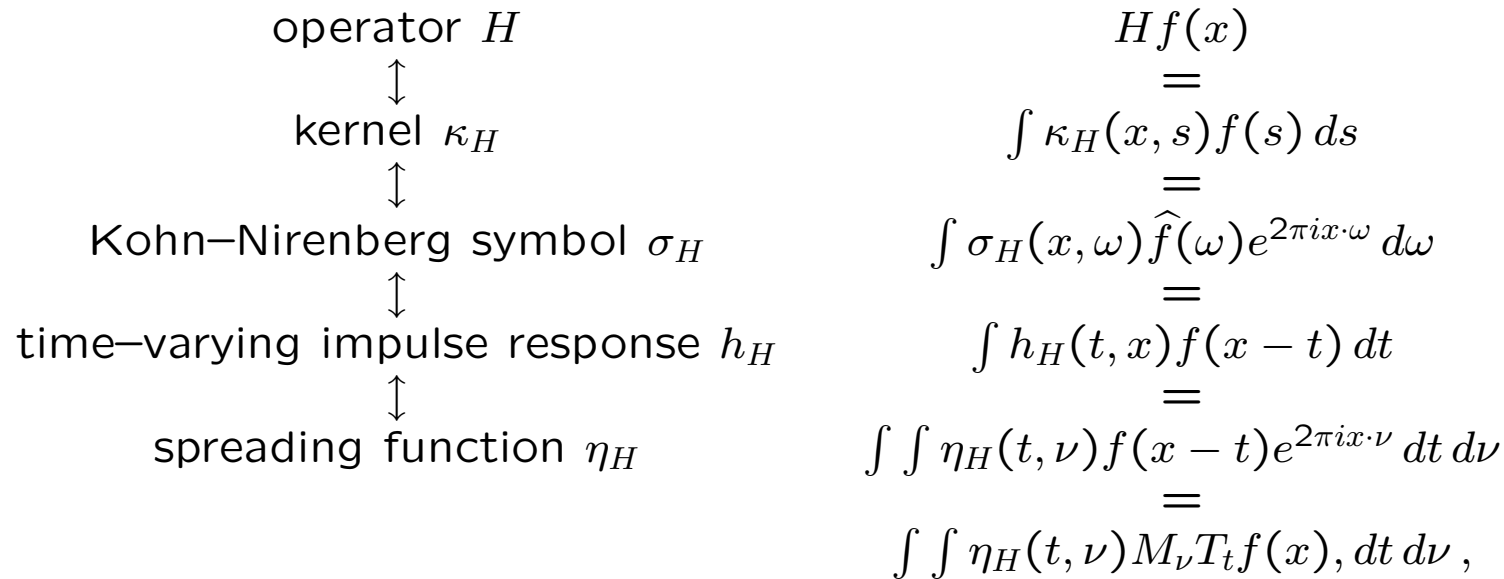
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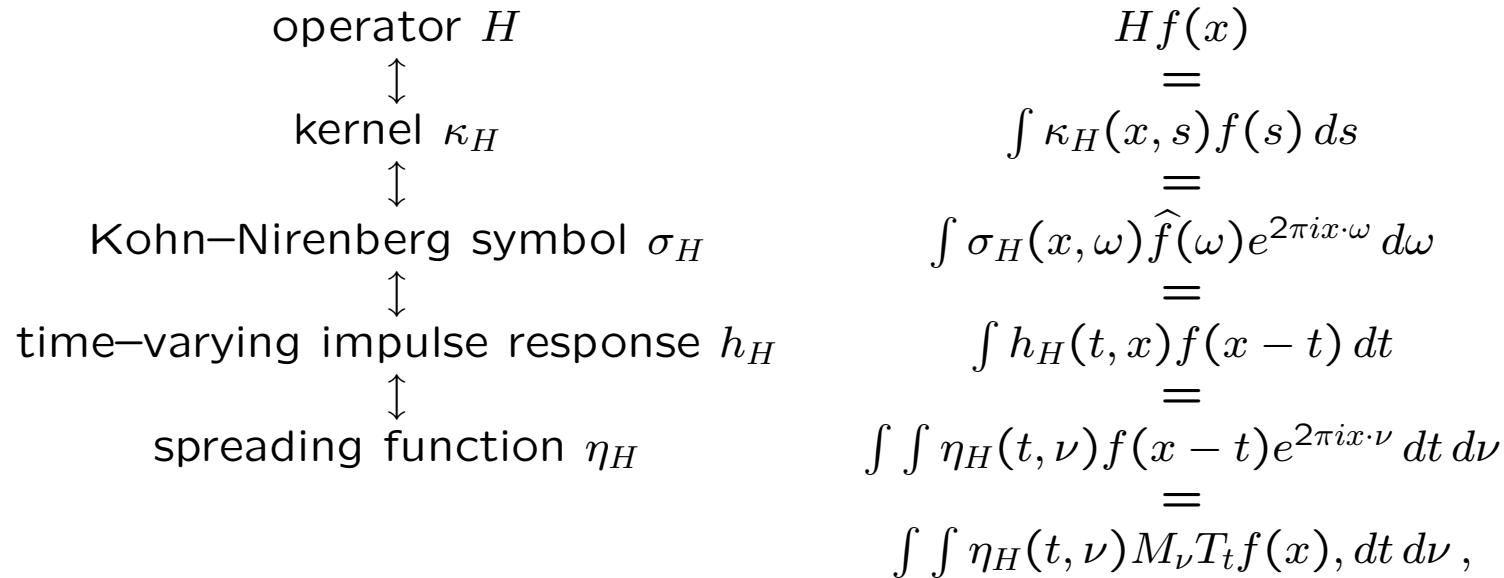
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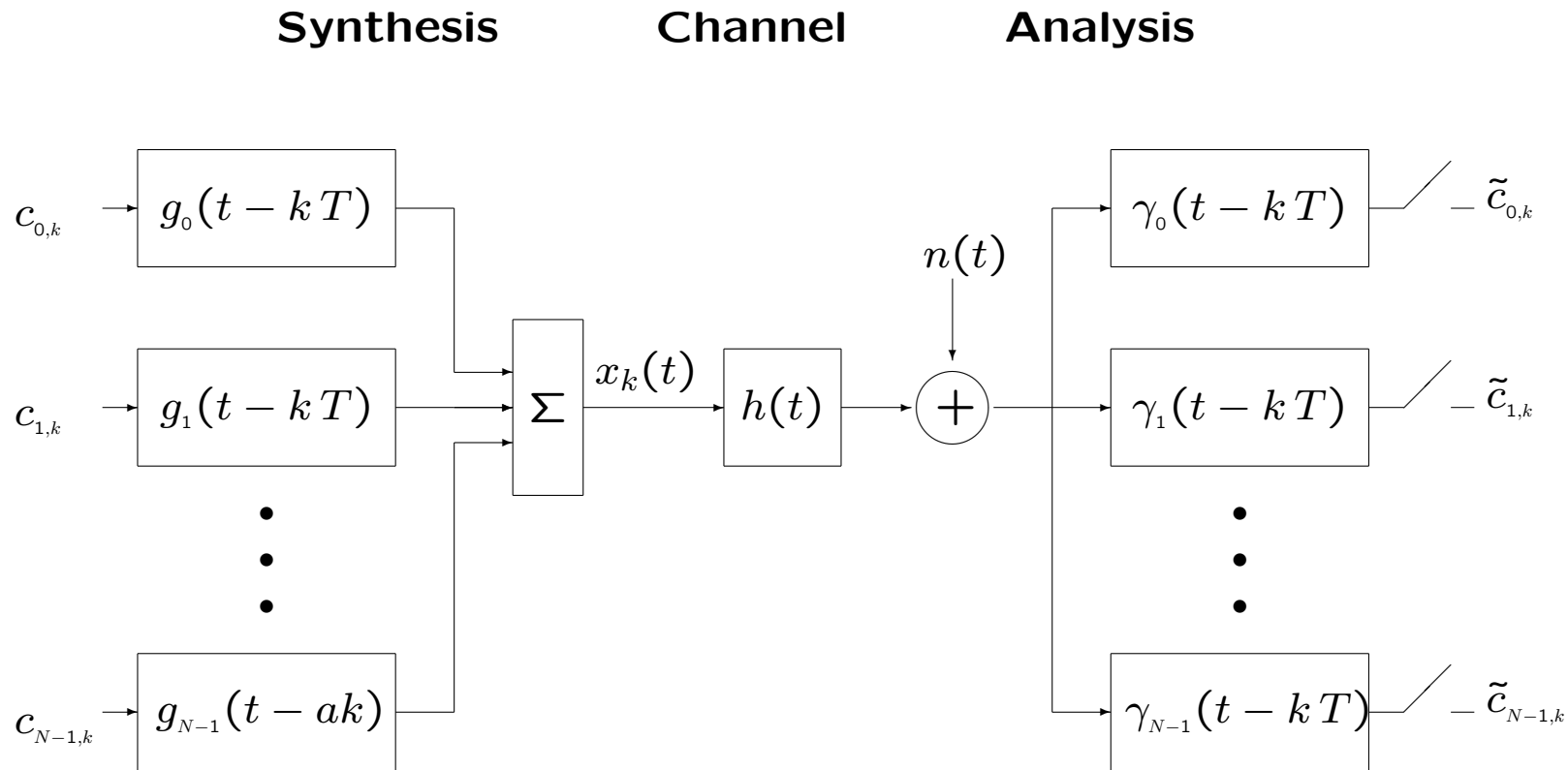


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### Pseudodifferential operators

# 1. Shift invariant multicarrier modulation



## Principles of shift invariant multicarrier modulation

- Carrier functions/pulses:  $\{g_l\}_{l=0,\dots,N-1}$ .

- Transmission symbol:  $x_0(t) = c_0 g_0(t) + \dots + c_{N-1} g_{N-1}(t) = \sum_{l=0}^{N-1} c_l g_l(t)$

- Transmission signal:  $x(t) = \sum_{k=-\infty}^{\infty} x_k(t) = \sum_{k=-\infty}^{\infty} \sum_{l=0}^{N-1} c_{l,k} \underbrace{g_l(t - kT)}_{g_{l,k}(t)}$

- Channel distortion:  $(K_h x)(t) = (h * x)(t) = \int_{\mathbb{R}} h(t-t') x(t') dt$

- Receiver strategy  $\tilde{c}_{l,k} = \langle h * x, \gamma_{l,k} \rangle = \int_{\mathbb{R}} (h * x)(t) \overline{\gamma_l(t - kT)} dt$

## Requirements on $\{g_{l,k}(t)\}$ and $\{\gamma_{l,k}(t)\}$ for stable and effective transmission:

- Stability and continuity of synthesis and analysis.
- If  $K = \mathbf{Identity}$  then  $c_{l,k} = \tilde{c}_{l,k}$ .
- $\{g_l(t)\}$  and  $\{\gamma_l(t)\}$  structured to allow for fast synthesis and analysis algorithms.
- Uniform compact support, i.e.,  $\text{supp } g_l \subset [0, T]$ , to restrict time delay.
- Efficient use of an assigned bandwidth.



... last but **NOT** least:

- Low ISI/ICI for an ensemble of convolution operators  $\mathcal{H} \subset \mathcal{L}(L^2(\mathbb{R}))$ , i.e., for all  $h(t) \in \mathcal{H}$ , the **channel matrix**  $G$  is diagonally dominant:

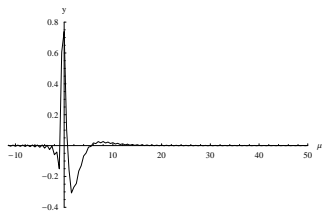
$$G_{l,k,l',k'}^h := \langle h * g_{l,k}, \gamma_{l',k'} \rangle \approx d_{l,k} \delta_{l,l'} \delta_{k,k'} \quad \left( G \vec{c} = \vec{\tilde{c}} \right)$$

ISI = inter symbol interference: effect of  $c_{l,k}$  on  $c_{l',k'}$  for  $k \neq k'$

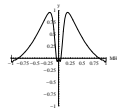
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## 2. Numerical comparison of MCM schemes

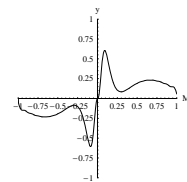
Simulations in a ADSL setting, using a impulse response which models a  $2\text{km}$ ,  $0,4\text{mm PE}$  twisted wire copper kabel. (ADSL ETSI ETR 328)



Convolution kernel  $h$



$\text{Re } \hat{h}$

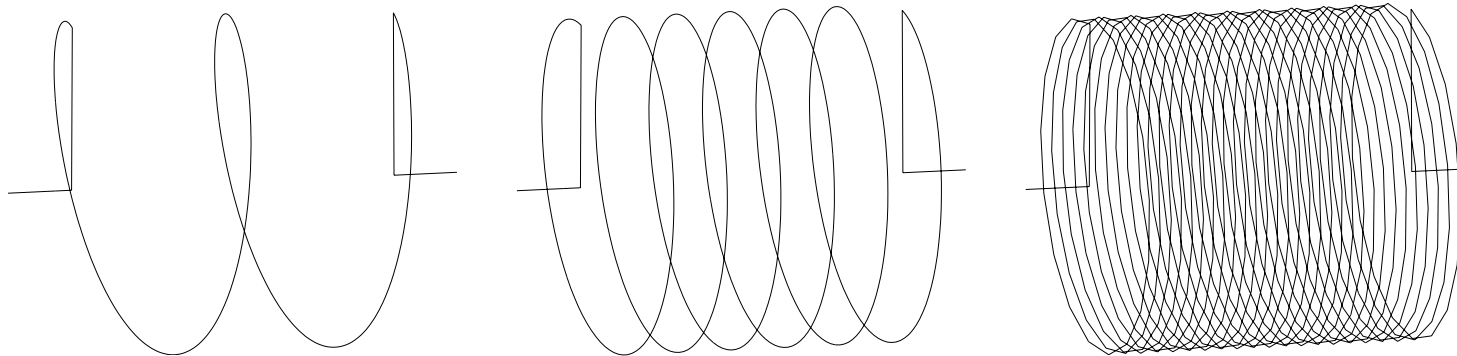


$\text{Im } \hat{h}$

## i. Weyl–Heisenberg systems (DMT)

For  $g_0, \gamma_0, b \geq \frac{1}{T}$  chosen appropriately, let

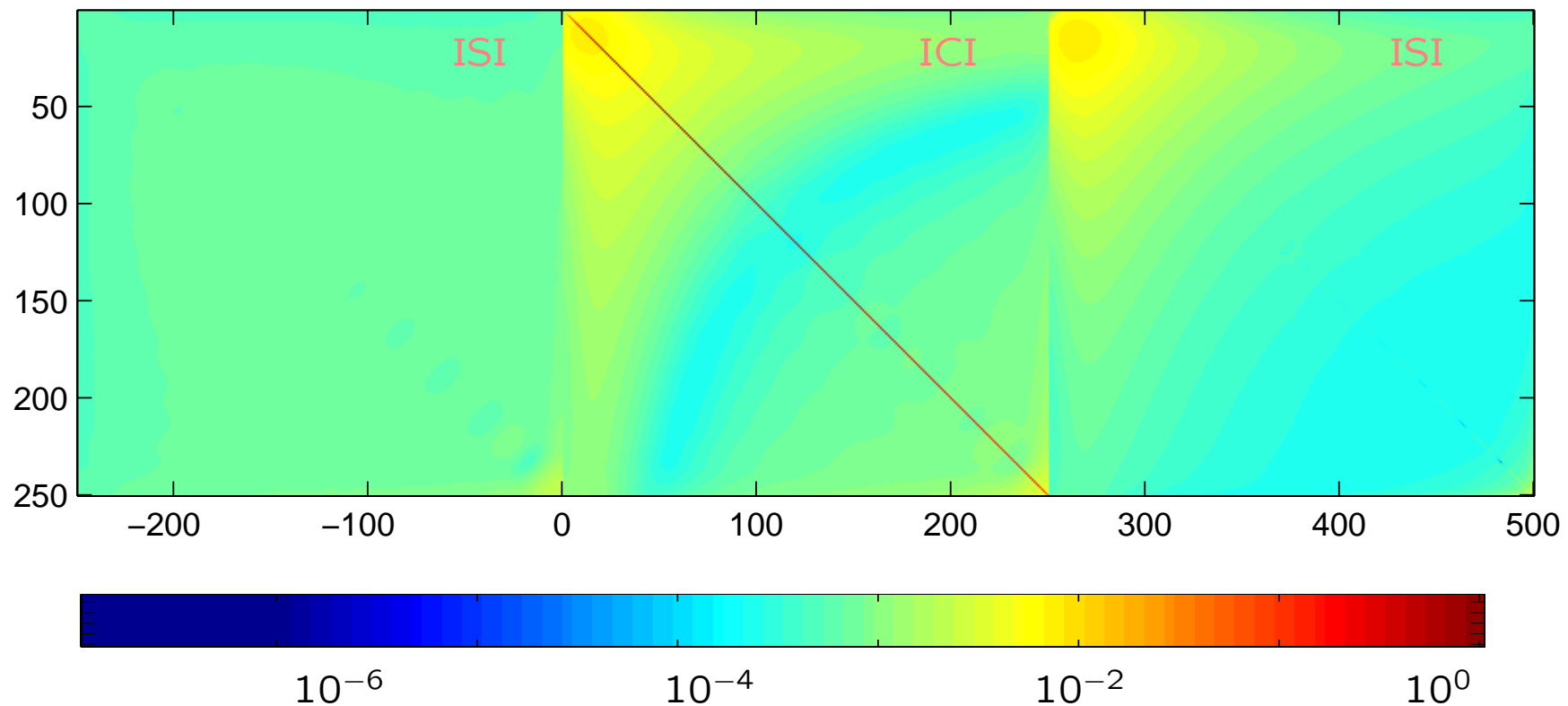
$$g_l(t) = g_0(t)e^{2\pi i b l x} \quad \text{and} \quad \gamma_l(t) = \gamma_0(t)e^{2\pi i b l x}.$$



## Weyl–Heisenberg system channel matrix (no cyclic prefix)

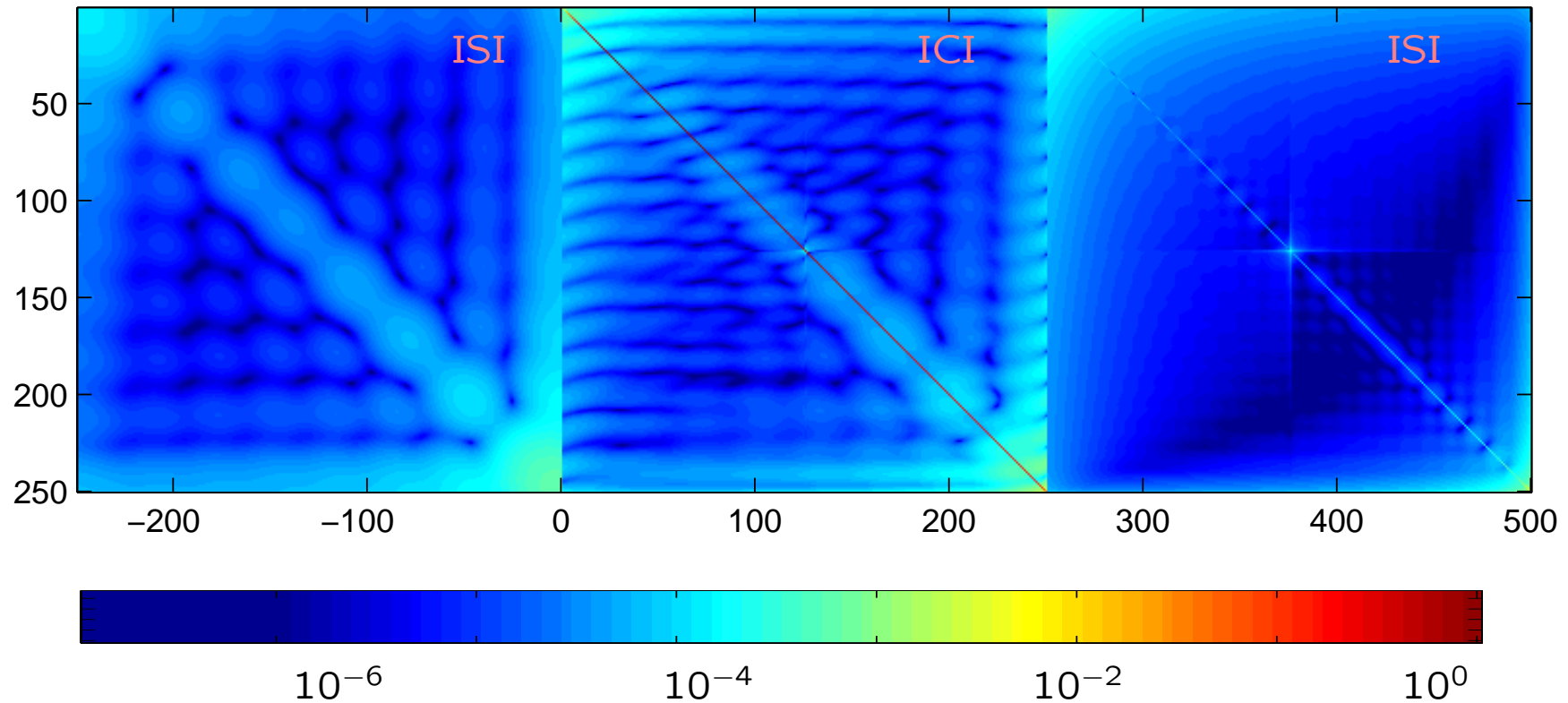
- $g_0(t[\mu s]) = \gamma_0(t[\mu s]) = \frac{1}{250}\chi_{[0,250)}(t[\mu s])$
- $g_{l+250k} = g_{l,k}, l = 0, \dots, 249, k \in \mathbf{Z}$

- $T = 250\mu s,$
- $b = \frac{1}{250}MHz$
- $N = 250$



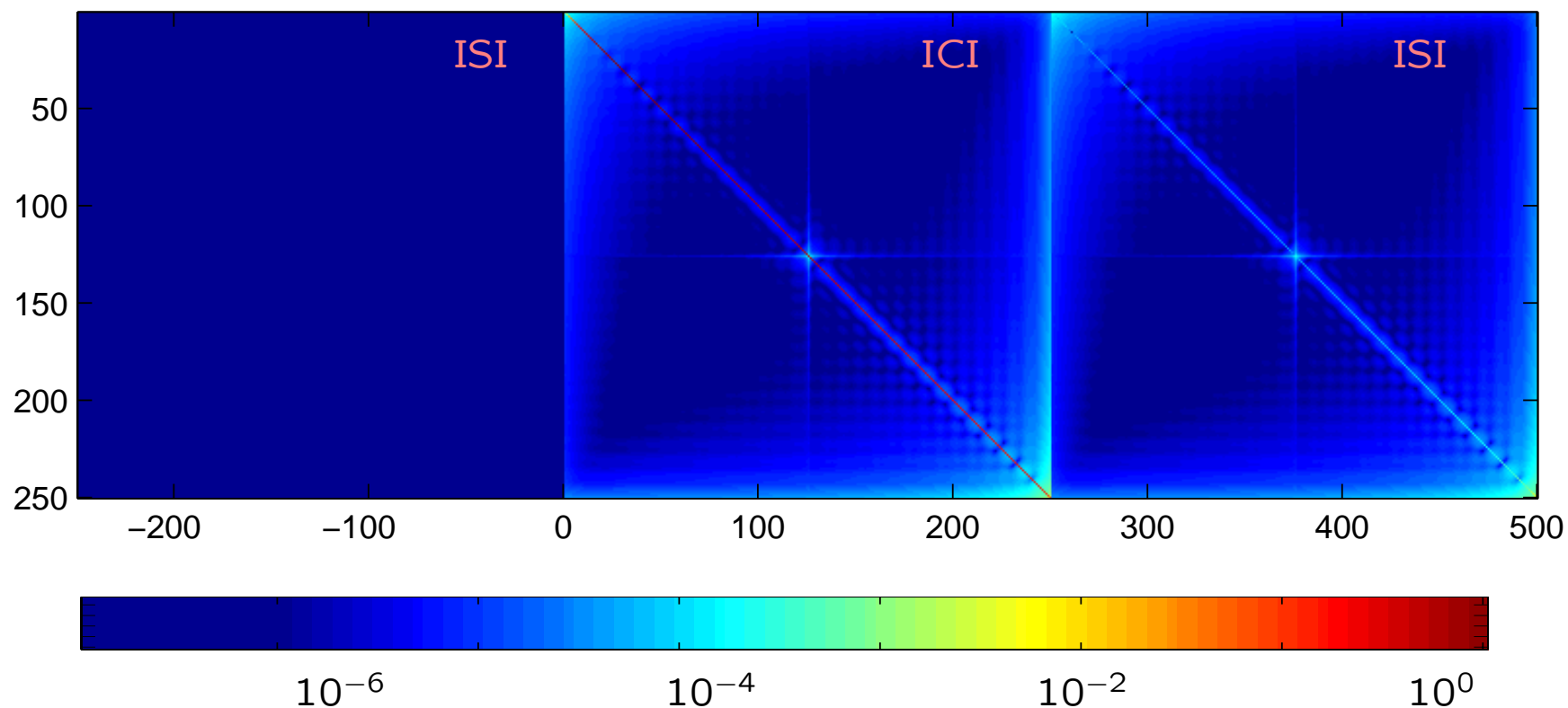
## Weyl–Heisenberg system channel matrix with $15\mu s$ cyclic prefix

- $g_0(t[\mu s]) = \frac{1}{250}\chi_{[-15,250)}(t[\mu s])$
- $\gamma_0(t[\mu s]) = \frac{1}{250}\chi_{[0,250)}(t[\mu s])$
- $g_{l+250k} = g_{l,k}, l = 0, \dots, 249, k \in \mathbf{Z}$
- $T = 265\mu s$
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## Weyl–Heisenberg system channel matrix with $30\mu s$ cyclic prefix

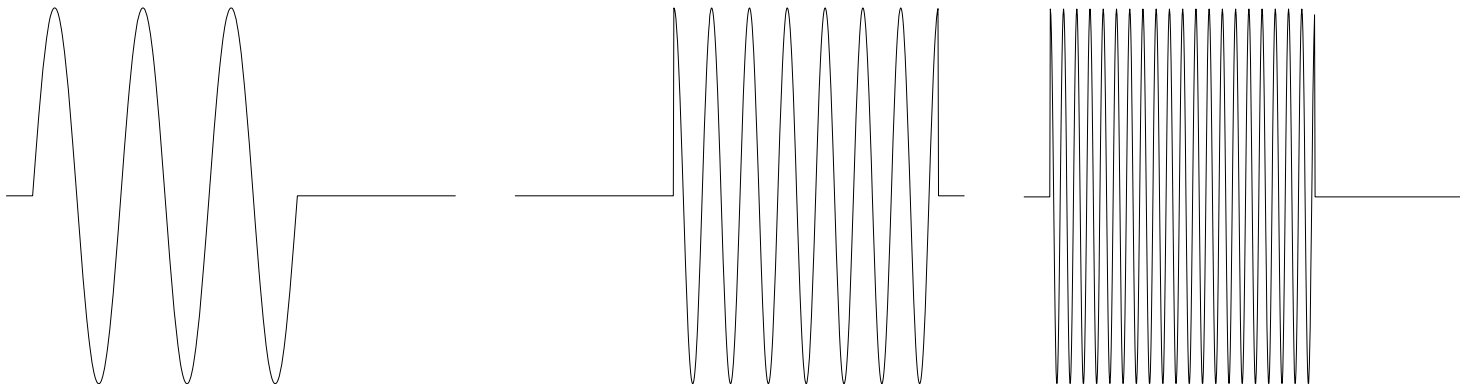
- $g_0(t[\mu s]) = \frac{1}{250}\chi_{[-30,250)}(t[\mu s])$
- $\gamma_0(t[\mu s]) = \frac{1}{250}\chi_{[0,250)}(t[\mu s])$
- $g_{l+250k} = g_{l,k}, l = 0, \dots, 249, k \in \mathbf{Z}$
- $T = 280\mu s$
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## ii. Wilson bases (OQAM)

For an appropriate prototype function  $g_0 = \gamma_0$  define

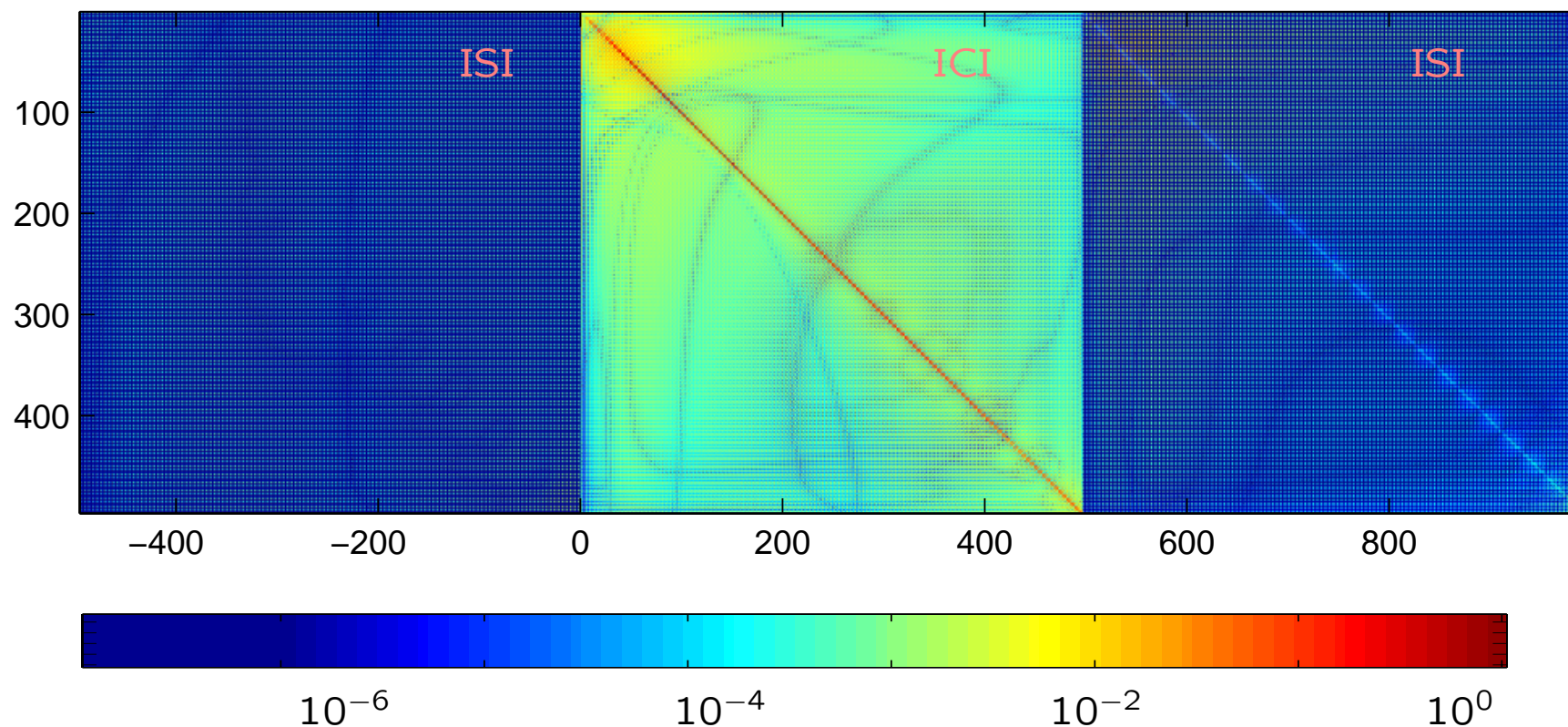
$$\begin{aligned} g_0(t) &= g(t), \\ g_m^{(1)}(t) &= g(t) \sqrt{2} \cos(2\pi \frac{2m}{T} t), & g_m^{(2)}(t) &= g(t - \frac{T}{2}) \sqrt{2} \cos(2\pi \frac{2m-1}{T} t), \\ g_m^{(3)}(t) &= g(t) \sqrt{2} \sin(2\pi \frac{2m-1}{T} t), & g_m^{(4)}(t) &= g(t - \frac{T}{2}) \sqrt{2} \sin(2\pi \frac{2m}{T} t), \\ & & m &= 1, \dots, M \text{ (i.e., } N = 4M + 1 \text{)}. \end{aligned}$$



## Wilson bases channel matrix

- $g_0(t[\mu s]) = \gamma_0(t[\mu s]) = \frac{1}{250}\chi_{[0,250)}(t[\mu s])$
- $g_{n+4l+497k} = g_{l,k}^{(n)}, \quad l = 1, \dots, 124, k \in \mathbf{Z}$

- $T = 250\mu s,$
- $b = \frac{1}{250}MHz$
- $N = 497$



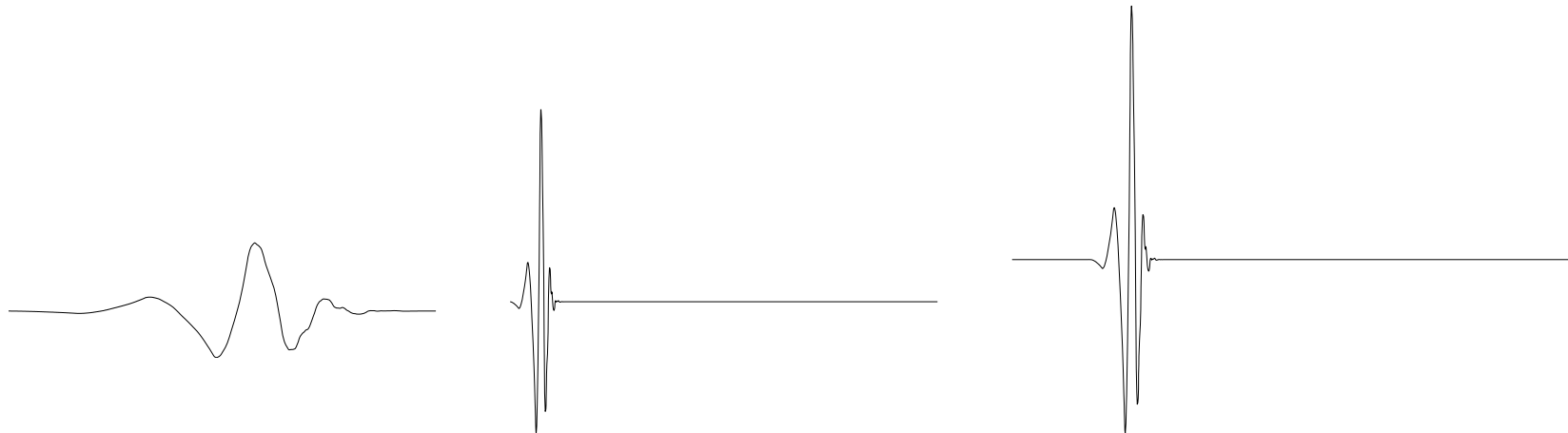


### iii. Wavelet bases (DWT)

For an appropriate prototype function  $g_0$  define:

$$g_m^{(n)}(t) = 2^{m/2} g_0\left(2^m\left(t - n\frac{T}{2^m}\right)\right), \quad m = 0, 1, \dots, M, \quad n = 0, 1, \dots, 2^m - 1$$

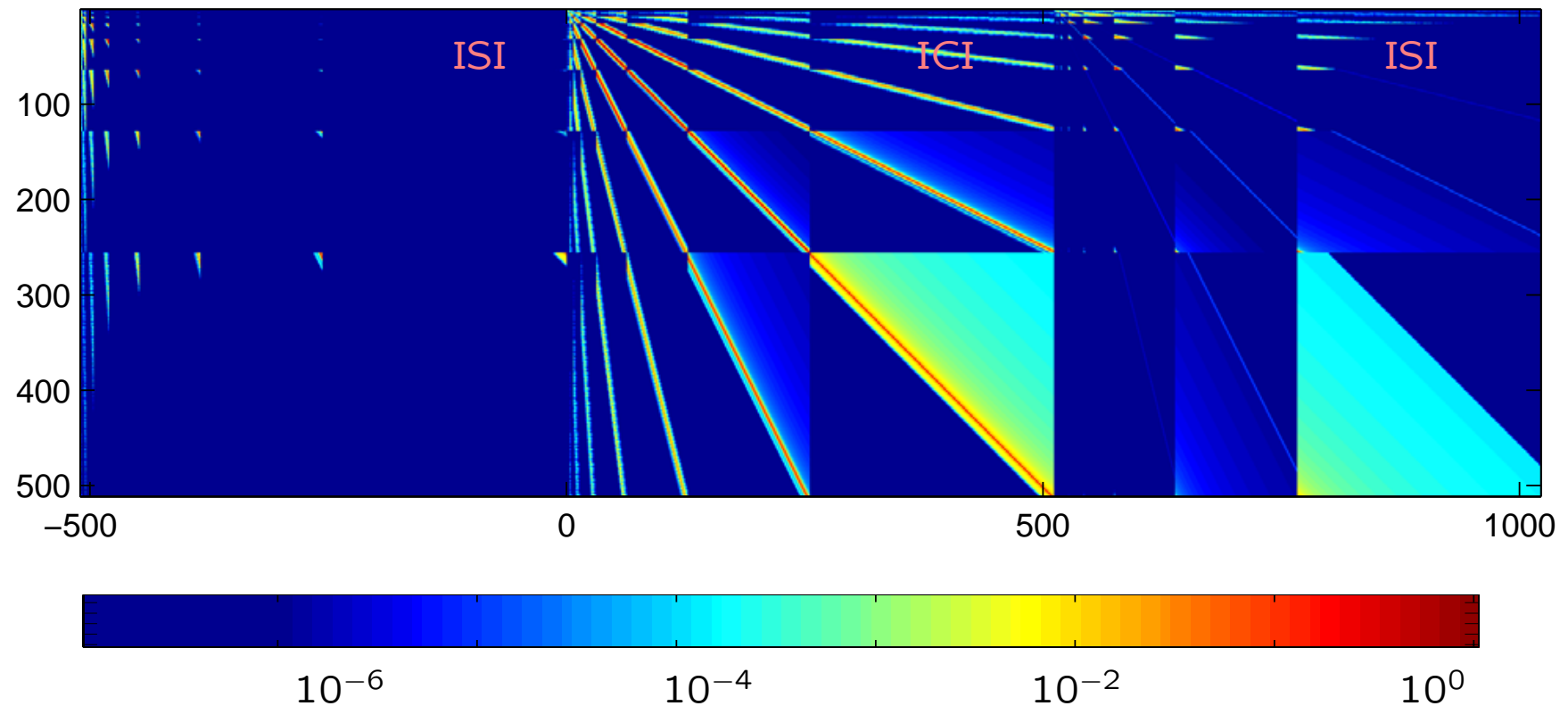
(i.e.,  $N = 2^{M+1} - 1$ ).



## Wavelet bases channel matrix

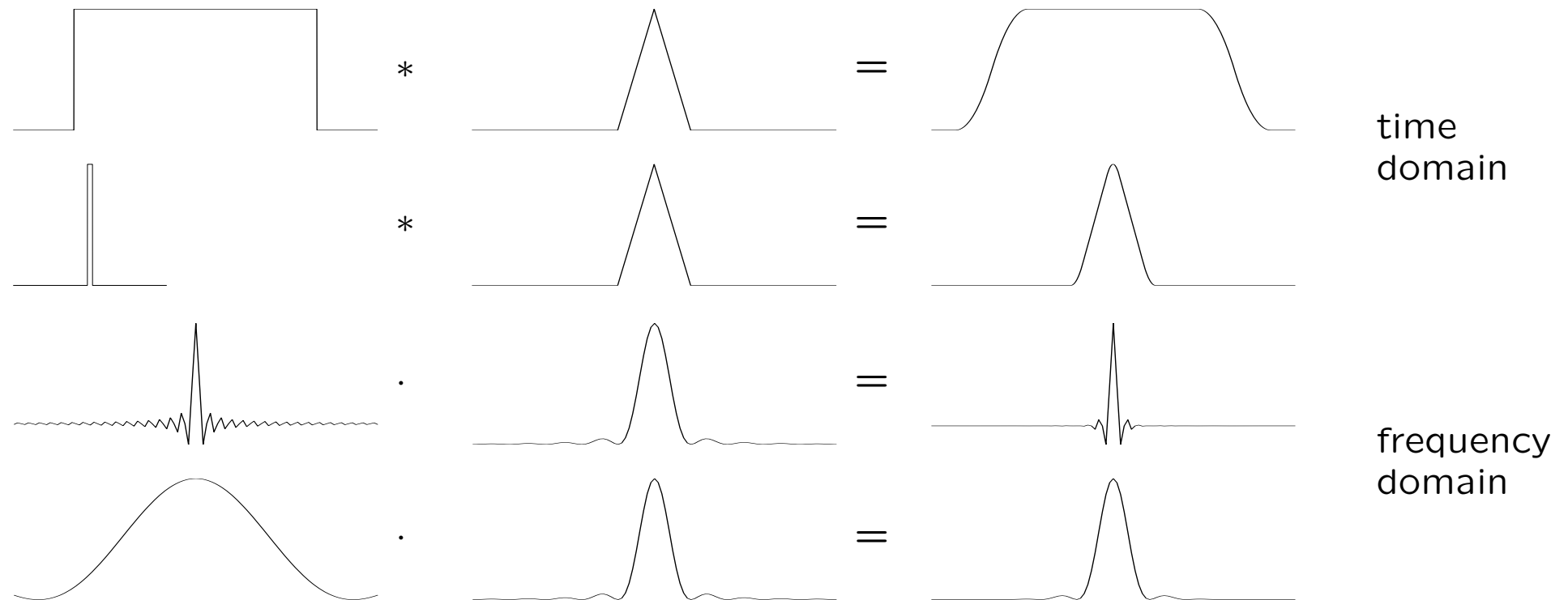
- $g_0(t[\mu s]) = \gamma_0(t[\mu s]) = \text{db4}(t[\mu s])$
- $g_{511k+2^m-1+n} = g_{k,m}^{(n)}$

- $T = 256\mu s,$
- $M = 8$
- $N = 511$



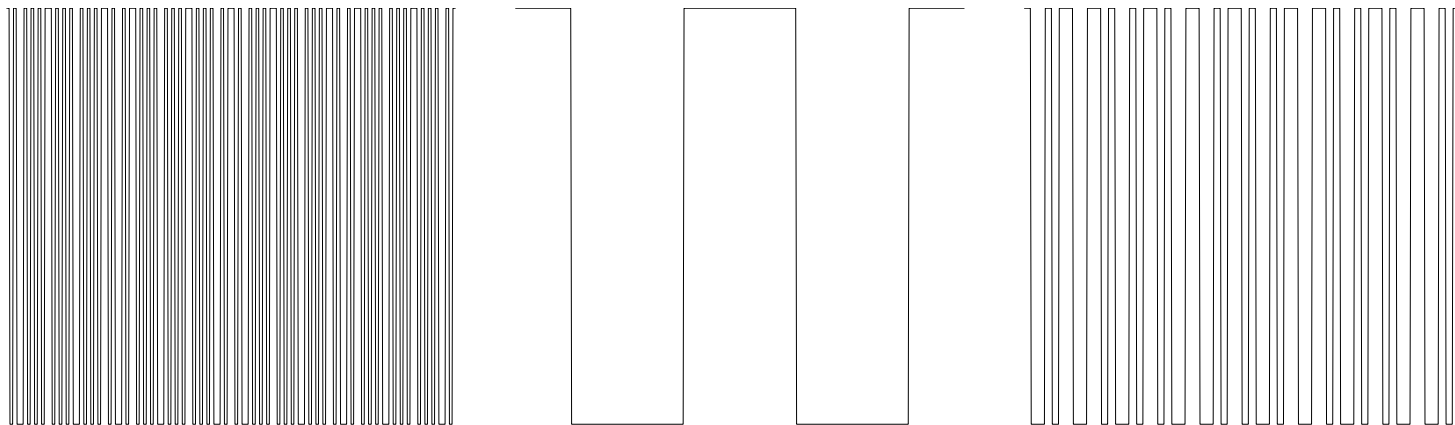
## Why do wavelets fail?

Convolution of a pulse with the channel impulse response:

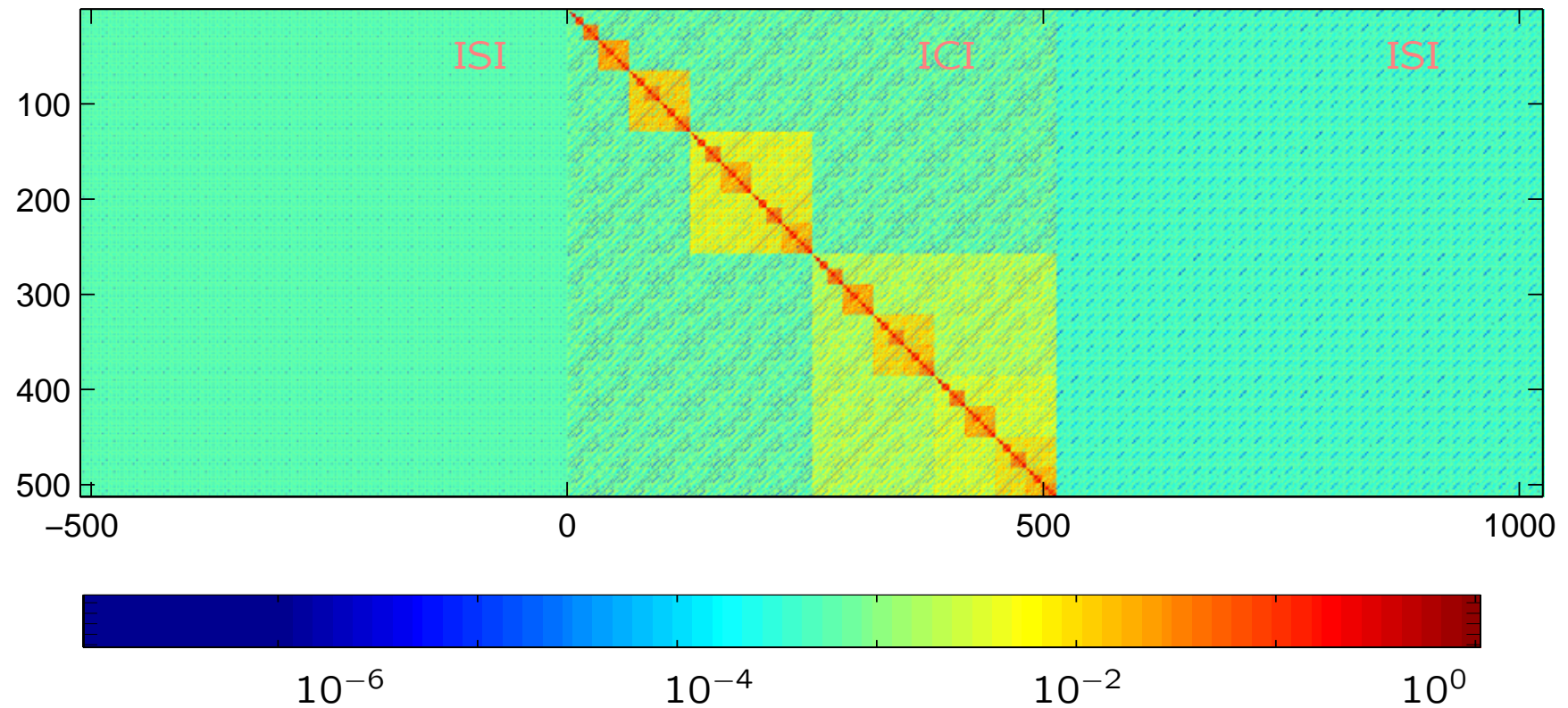


#### iv. Walsh-Hadamard systems (CDMA)

$g^{(l)}(t)$  is the convolution of the binary-valued code sequence with index  $l$  and some bandlimited pulse-shaping signal.

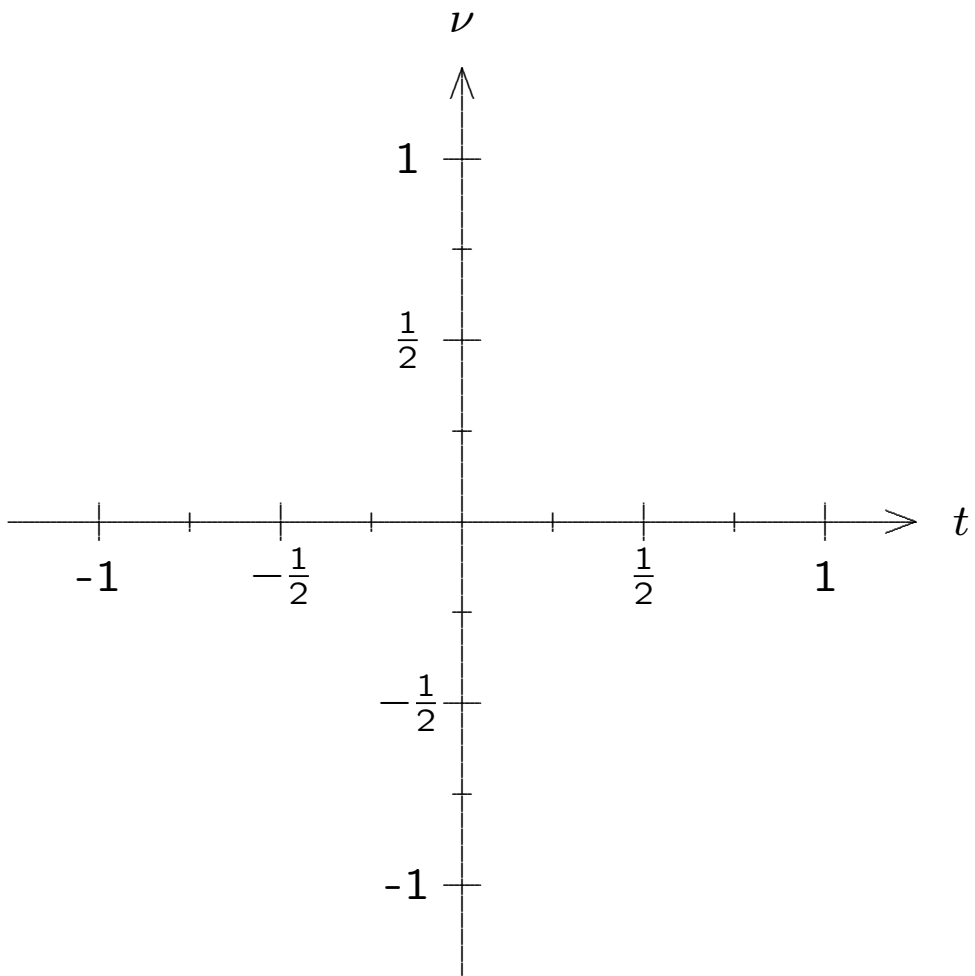


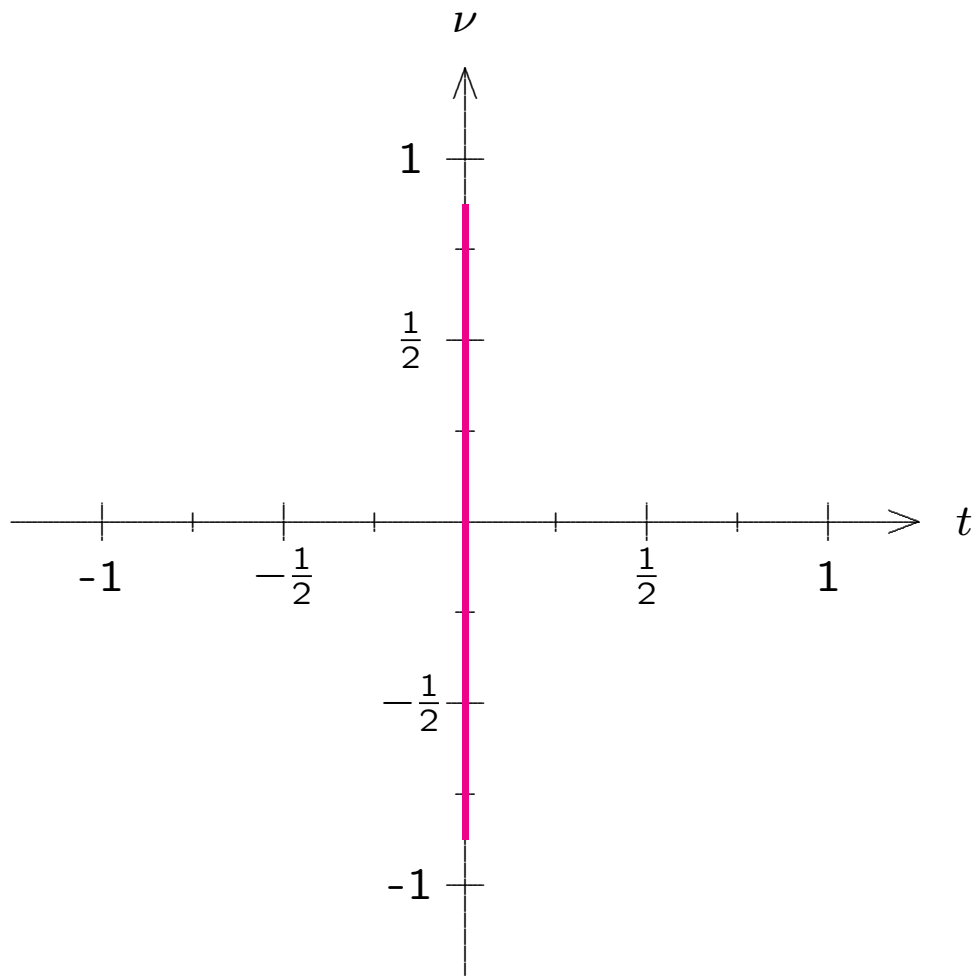
## Walsh-Hadamard system channel matrix



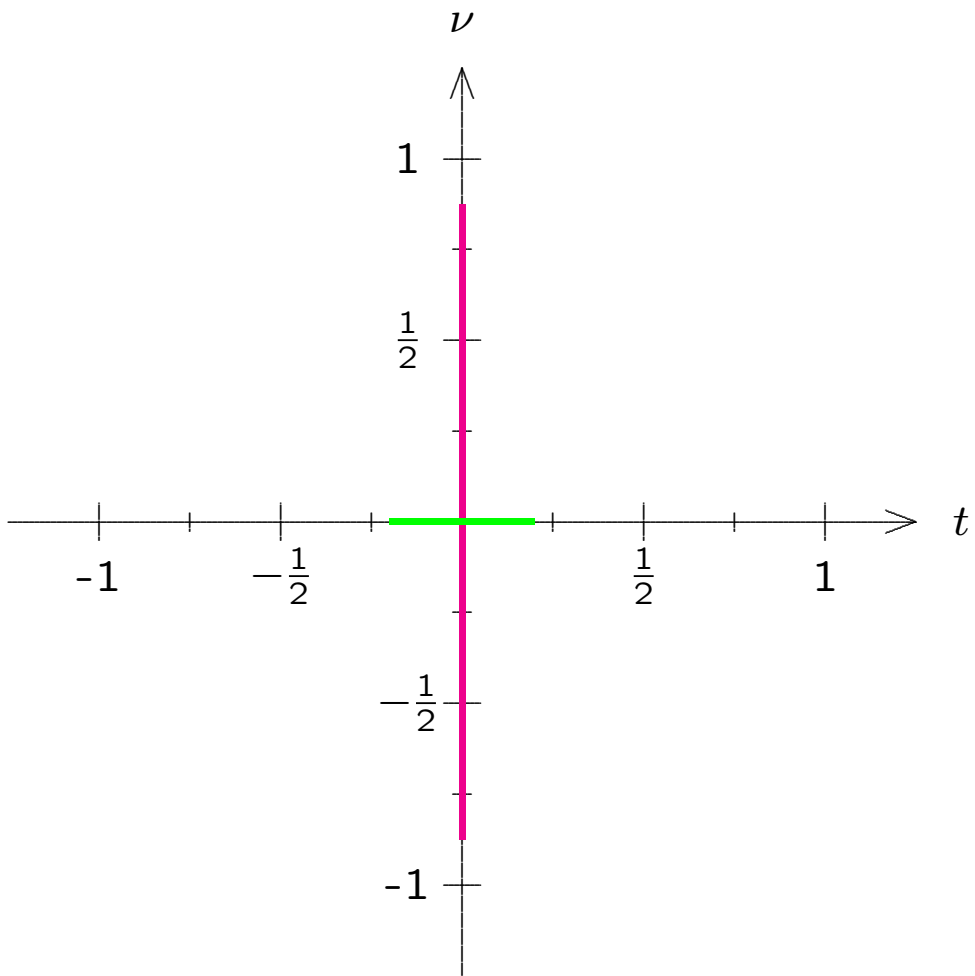
### 3. Mathematical contributions

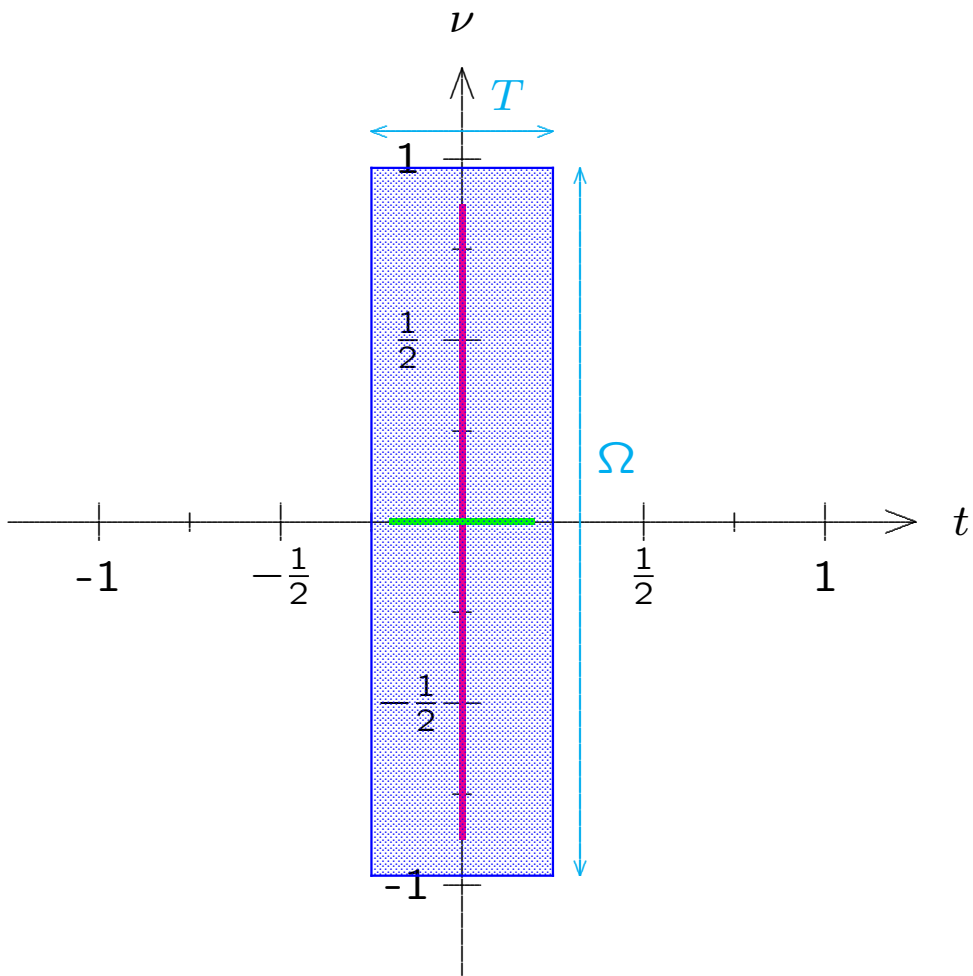
- Upper and lower bounds on the orthogonal perturbation (ISI/ICI) of MCM systems. A magic superwavelet for digital transmission in time invariant environments does not exist.
- Bounds on "oversampling" estimates of the crest factor in the *Weyl–Heisenberg* setup.
- Analysis of slowly time variant systems, solution of Kailath's underspread channel identification problem.



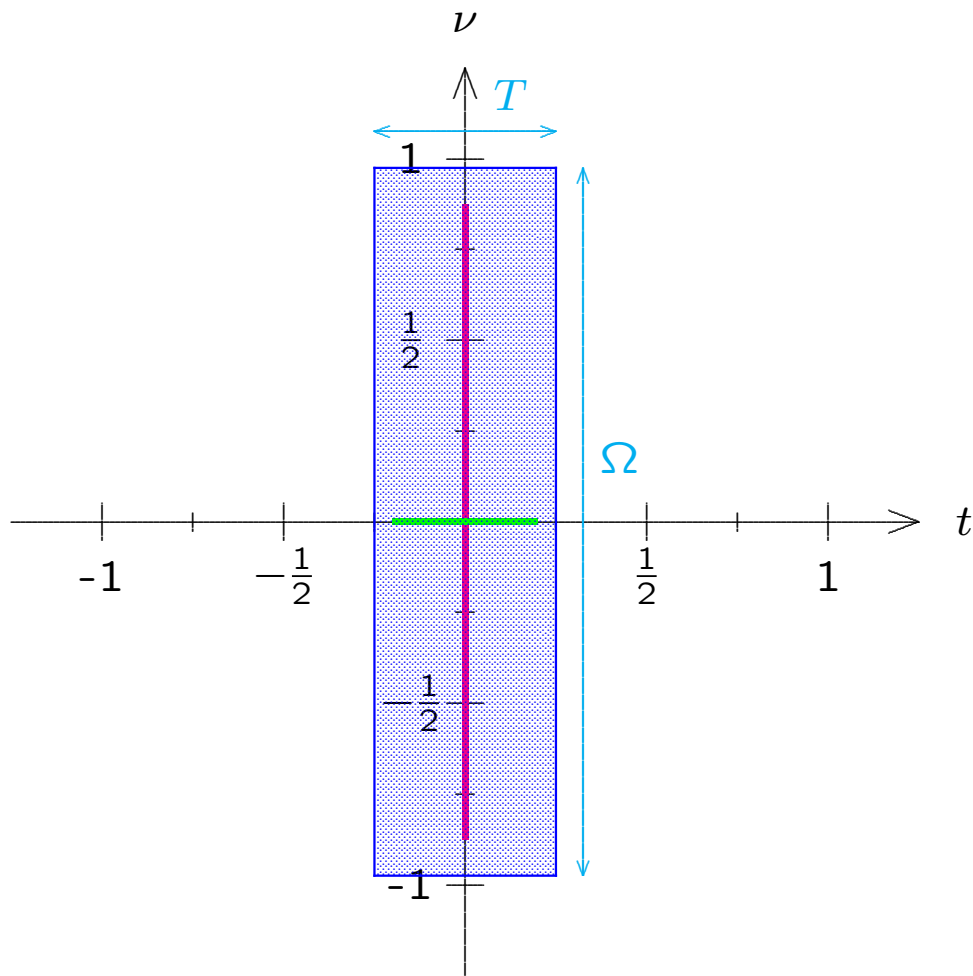






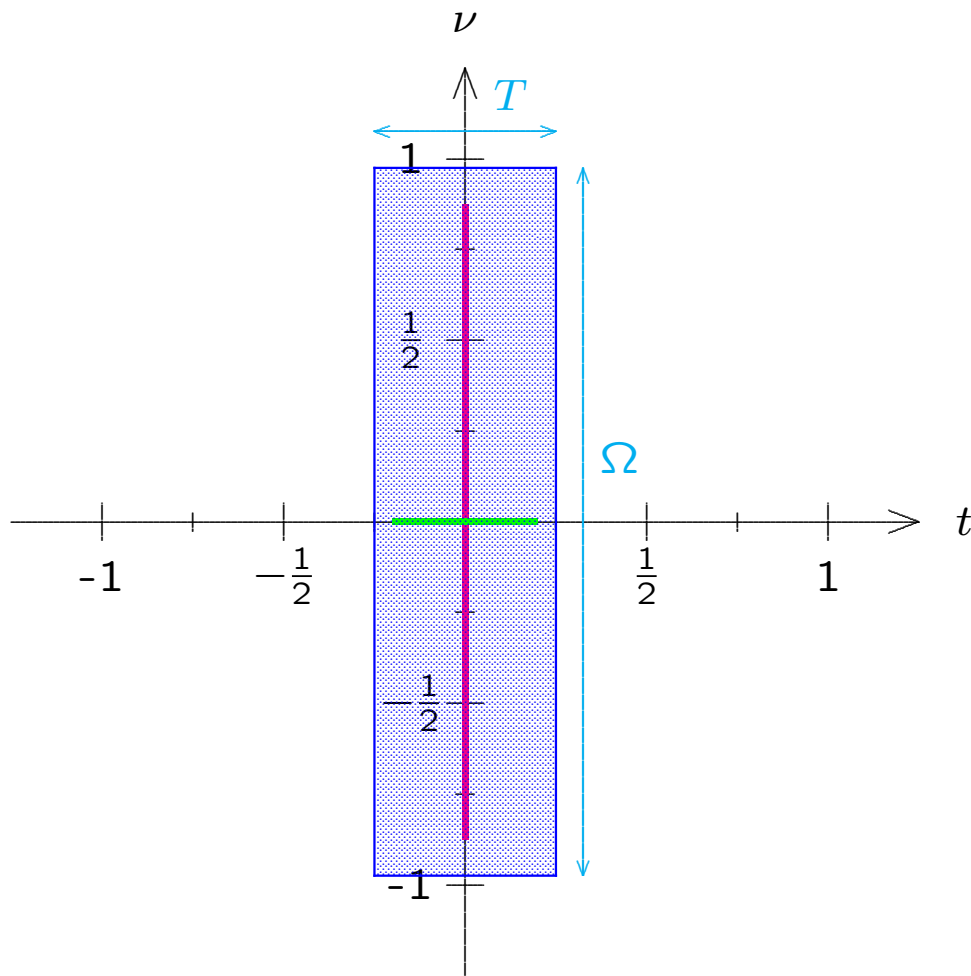


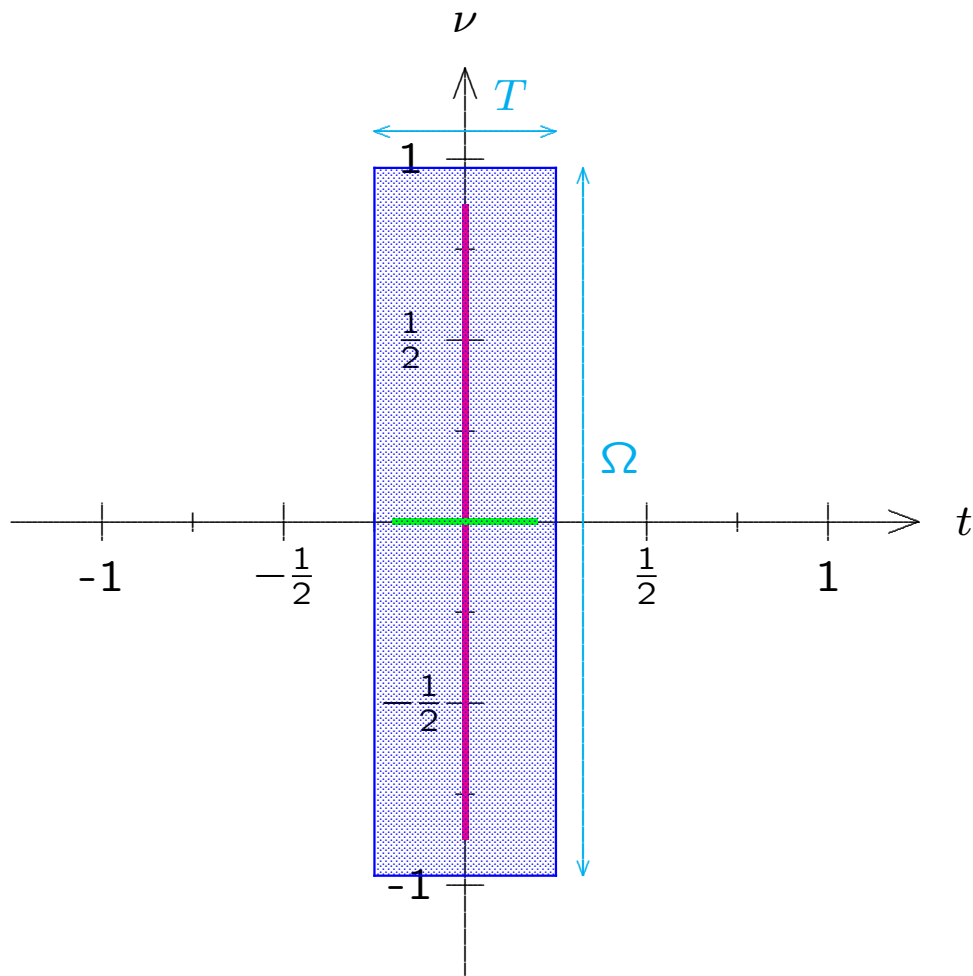
Classical sampling theorem  $\Leftrightarrow$  *identifiability of operators* with distributional support of  $\hat{\sigma}$  ( $\sigma =$  Kohn–Nirenberg symbol) lying on  $\nu$  axis.



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Time–invariant operators can be identified from their action on the  $\delta \Leftrightarrow$  *identifiability of operators* with distributional support of  $\hat{\sigma}$  on  $t$  axis.

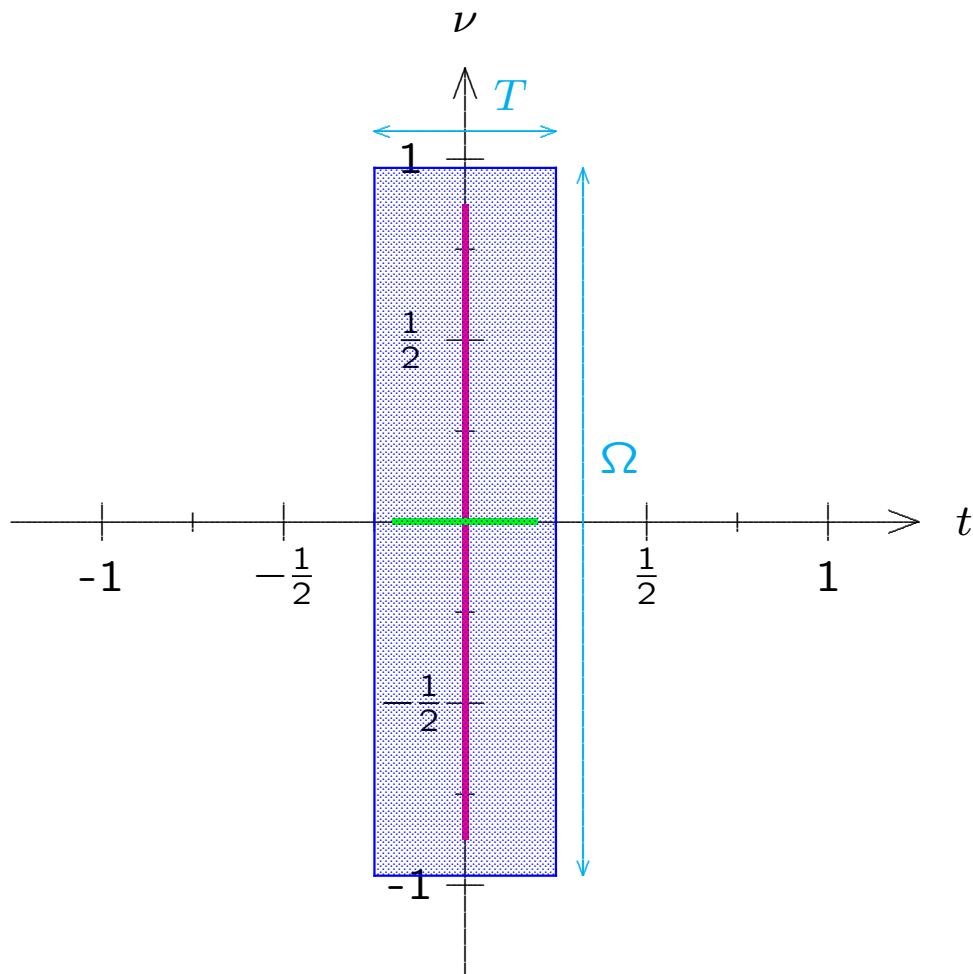




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Our operator sampling theorem extends these results to operators with distributional support of  $\hat{\sigma}$  contained in sets of area less than one.

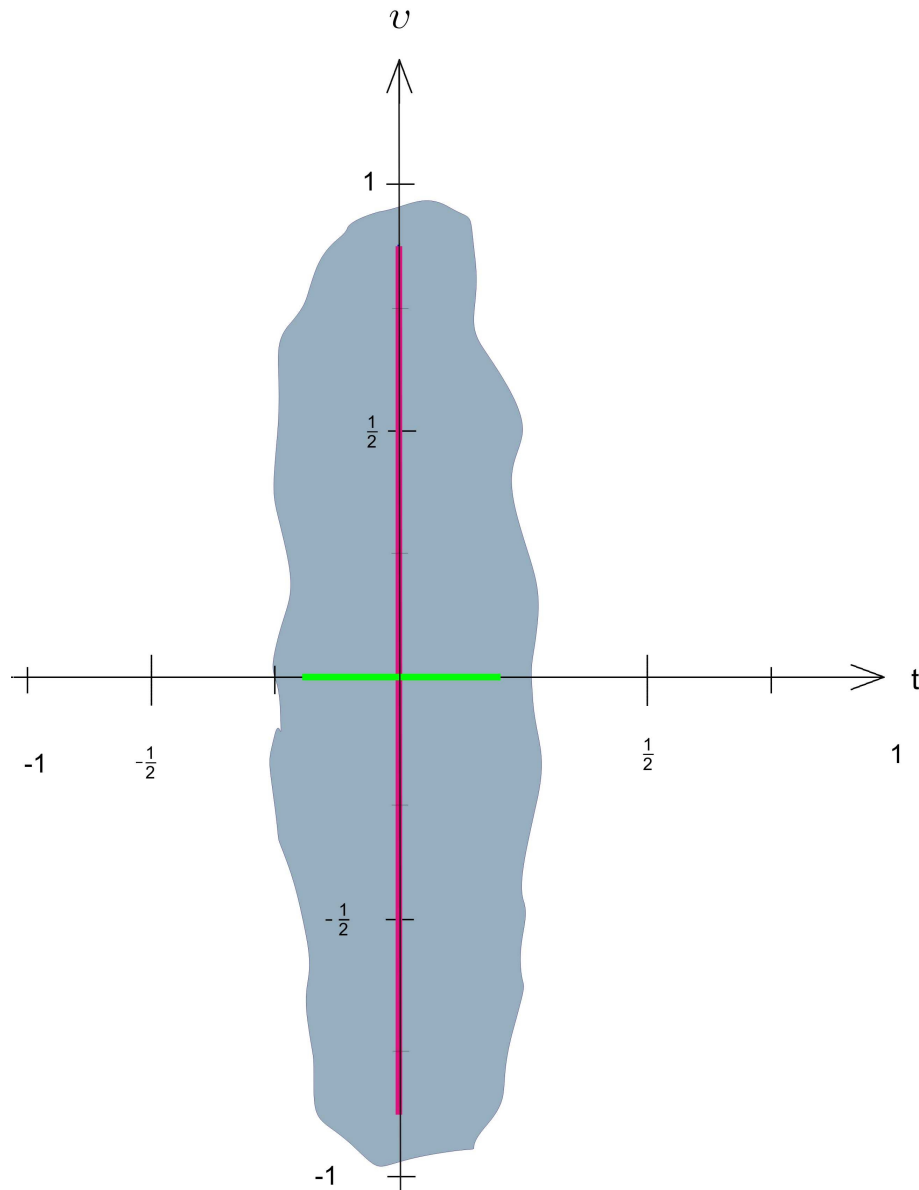


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Results cover • linear differential operators with bandlimited coefficients • any pseudo-differential operators of arbitrary order and bandlimited Kohn–Nirenberg symbol • finite delay convolution operators, • multiplication operators with bandlimited symbols.



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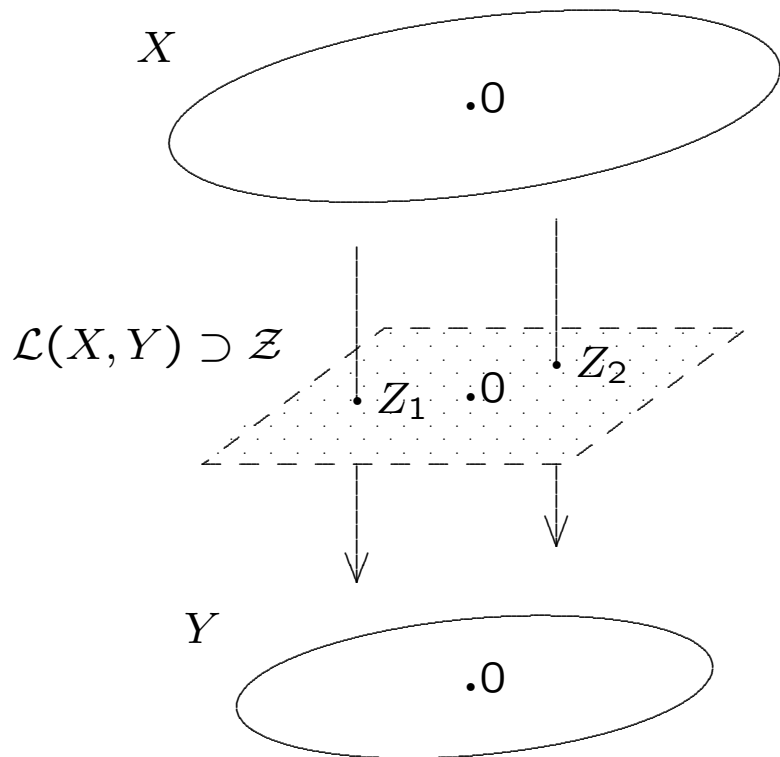
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# Theory I. The operator identification problem

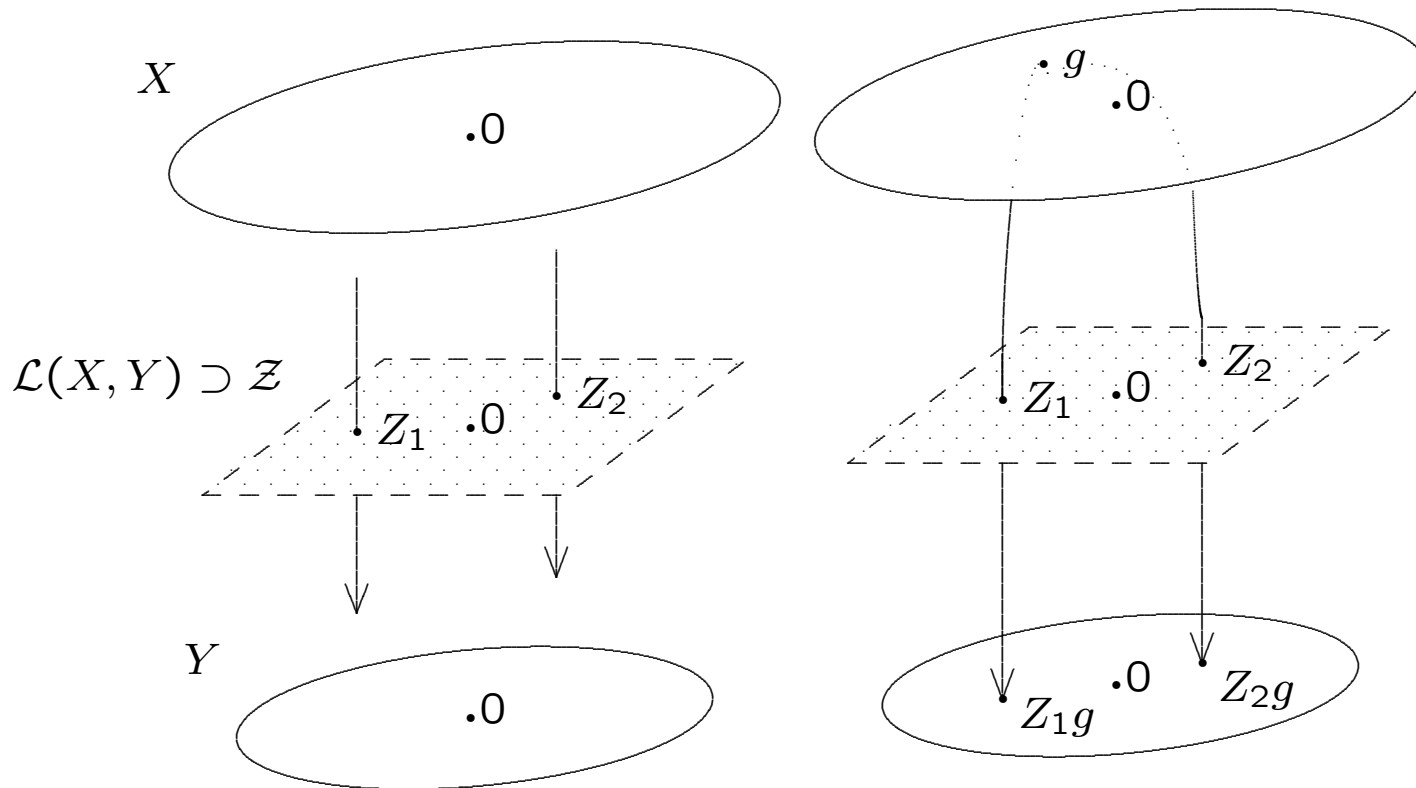
Exists  $g \in X$ , with  $\|Z\|_{\mathcal{Z}} \asymp \|Zg\|_Y$  for all  $Z \in \mathcal{Z} \subseteq \mathcal{L}(X, Y)$  ?





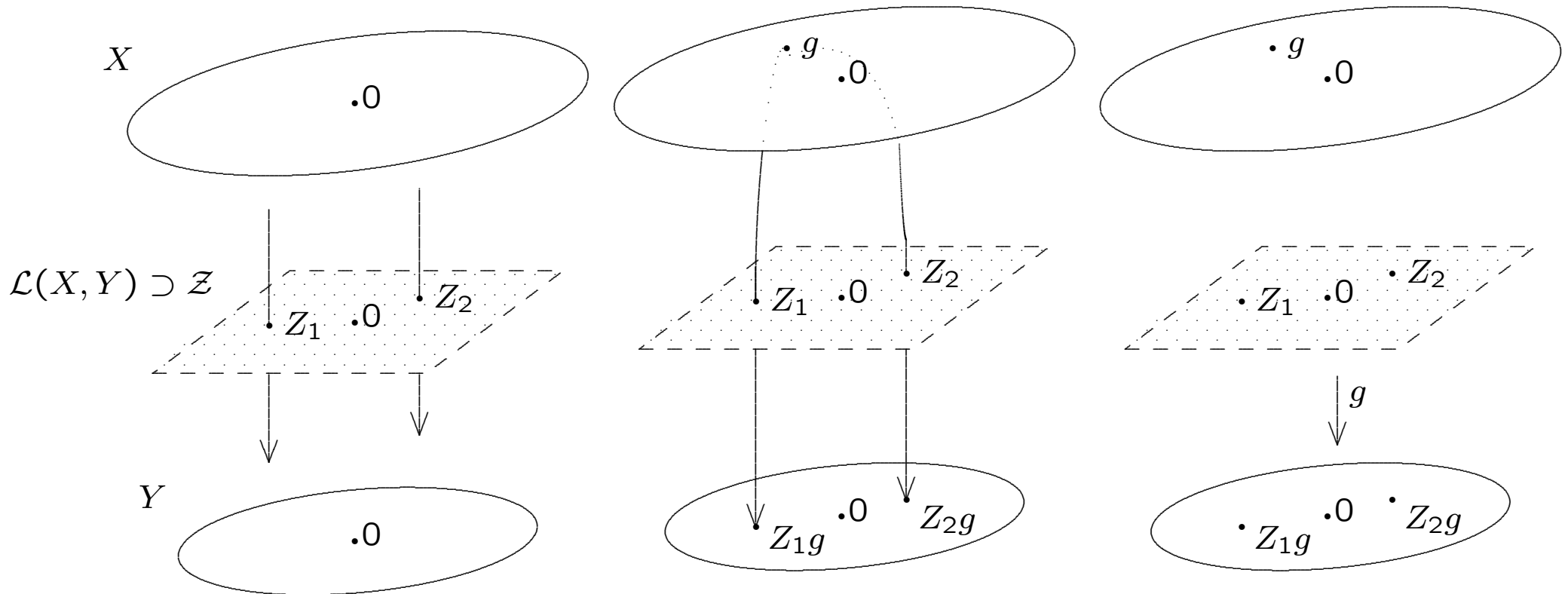
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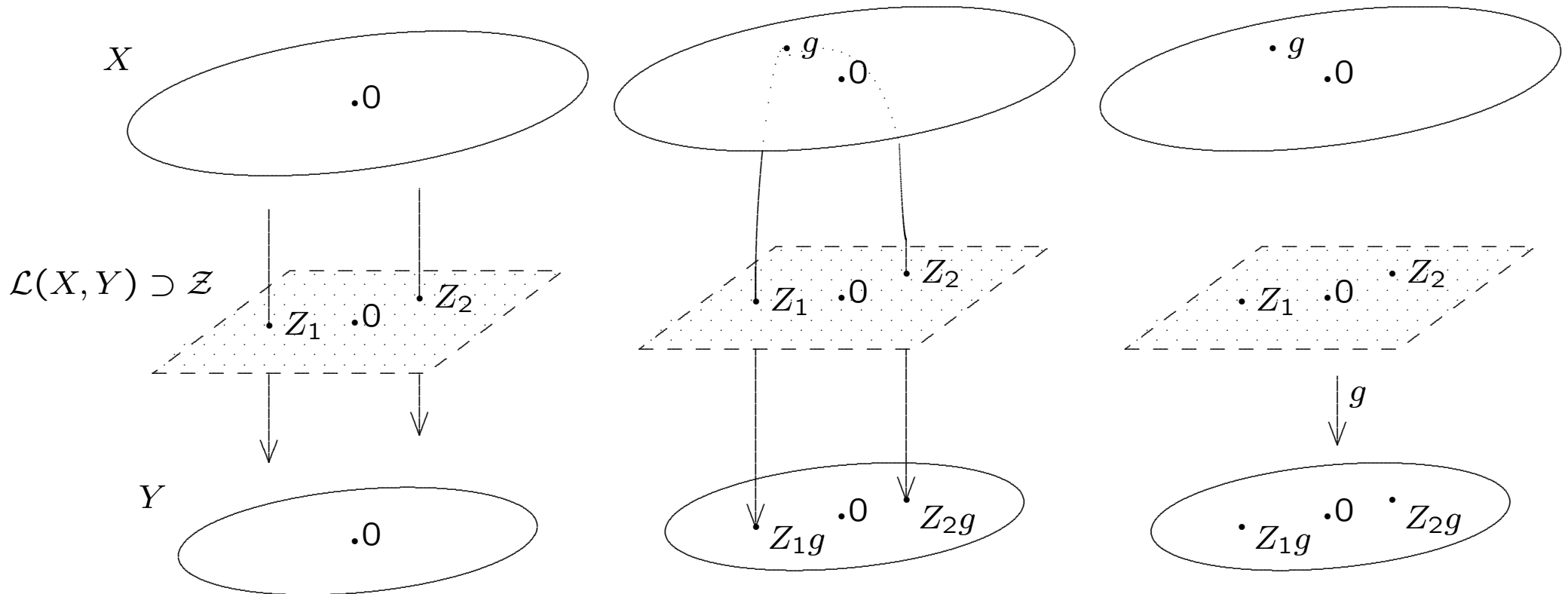
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If  $g = \sum c_j \delta_{s_j}$  then identification is referred to as **operator sampling**.

# Main Result I.

**Classical Sampling Theorem.** Given a function  $m \in PW^2(\Omega)$  and  $T$  with  $T\Omega < 1$ . Choose  $s \in PW^2(\frac{2}{T} - \Omega)$  with  $\hat{s} = 1$  on  $[-\frac{\Omega}{2}, \frac{\Omega}{2}]$ .

Then  $\{m(nT)\}$  fully characterizes  $m$ ,  $\|\{m(kT)\}\|_{l^2} \asymp \|m\|_{L^2}$  and

$$m(x) = T \sum_{k \in \mathbb{Z}} m(kT) s(x - kT) = T \sum_{k \in \mathbb{Z}} m(kT) \frac{\sin 2\pi\Omega(x - kT)}{\pi(x - kT)}.$$

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**Theorem (GP, D. Walnut)** For  $H \in OPW_s^{pq}([-\frac{\Omega}{2}, \frac{\Omega}{2}] \times [-\frac{T'}{2}, \frac{T'}{2}])$  and  $\Omega T' < \Omega T < 1$ , choose  $s \in PW^p(\frac{2}{T} - \Omega)$  with  $\hat{s} = 1$  on  $[-\frac{\Omega}{2}, \frac{\Omega}{2}]$  and  $r \in \mathcal{S}$  with  $\text{supp } r \subset [-T + \frac{T'}{2}, T - \frac{T'}{2}]$  and  $r = 1$  on  $[-\frac{T'}{2}, \frac{T'}{2}]$ .

Then  $H\Pi_T = H \sum_k \delta_{kT}$  fully determines  $H$ , in fact, we have  $\|H\Pi\|_{M_s^{pq}} \asymp \|\sigma_H\|_{PW_s^{pq}}$  and

$$h_H(t, x) = r(t) \sum_{k \in \mathbf{Z}} (H\Pi_T)(t + kT) s(x - kT). \quad \left( Hf(x) = \int h_H(t, x) f(x - t) dt \right)$$