

To Israel Moiseevich Gelfand on his 90th birthday

ON THE EXISTENCE OF $(\mathfrak{g}, \mathfrak{k})$ -MODULES OF FINITE TYPE

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ABSTRACT. Let \mathfrak{g} be a reductive Lie algebra over an algebraically closed field of characteristic zero, and \mathfrak{k} be a subalgebra reductive in \mathfrak{g} . We prove that \mathfrak{g} admits an irreducible $(\mathfrak{g}, \mathfrak{k})$ -module M which has finite \mathfrak{k} -multiplicities and which is not a $(\mathfrak{g}, \mathfrak{k}')$ -module for any proper inclusion of reductive subalgebras $\mathfrak{k} \subset \mathfrak{k}' \subset \mathfrak{g}$, if and only if \mathfrak{k} contains its centralizer in \mathfrak{g} . The main point of the proof is a geometric construction of $(\mathfrak{g}, \mathfrak{k})$ -modules which is analogous to cohomological induction. For $\mathfrak{g} = \mathfrak{gl}(n)$ we show that, whenever \mathfrak{k} contains its centralizer, there is an irreducible $(\mathfrak{g}, \mathfrak{k})$ -module M of finite type over \mathfrak{k} such that \mathfrak{k} coincides with the subalgebra of all $g \in \mathfrak{g}$ which act locally finitely on M . Finally, for a root subalgebra $\mathfrak{k} \subset \mathfrak{gl}(n)$, we describe all possibilities for the subalgebra $\mathfrak{l} \supset \mathfrak{k}$ of all elements acting locally finitely on some M .

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1. INTRODUCTION

Let \mathfrak{g} be a reductive Lie algebra over an algebraically closed field of characteristic zero and $\mathfrak{k} \subset \mathfrak{g}$ be a subalgebra reductive in \mathfrak{g} . In his program talk [G], I. Gelfand has introduced the notion of a $(\mathfrak{g}, \mathfrak{k})$ -module with finite \mathfrak{k} -multiplicities. The present paper focuses on a new notion relevant to Gelfand's program: we call \mathfrak{k} *primal* if \mathfrak{g} admits an irreducible $(\mathfrak{g}, \mathfrak{k})$ -module with finite \mathfrak{k} -multiplicities which is not a $(\mathfrak{g}, \mathfrak{k}')$ -module for any proper inclusion of reductive subalgebras $\mathfrak{k} \subset \mathfrak{k}' \subset \mathfrak{g}$. Our central result is that \mathfrak{k} is primal if and only if \mathfrak{k} contains its centralizer in \mathfrak{g} , or equivalently, if and only if \mathfrak{k} is a direct sum of a semisimple subalgebra \mathfrak{k}' in \mathfrak{g} and a Cartan subalgebra of the centralizer $C(\mathfrak{k}')$ in \mathfrak{g} . This provides a complete description of all primal subalgebras,

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as the semisimple subalgebras of a reductive Lie algebra have been classified by E. Dynkin, [D].

Here is a brief account of our motivation. It is common wisdom that classifying all irreducible representations of a reductive Lie algebra \mathfrak{g} is not a well-posed problem. In contrast with that, classifying irreducible representations with natural finiteness properties has remained a core problem in representation theory since the work of E. Cartan and H. Weyl. A landmark success has been the celebrated classification of irreducible Harish-Chandra modules (see [V], Ch. 6 and [KV], Ch. 11). The case of $(G_2, \mathfrak{sl}(3))$ -modules has been considered by P. Kekäläinen in [Ke], and by G. Savin in [S]. In 1998 O. Mathieu (following up on work of S. Fernando and others) obtained a very important different classification: of irreducible weight modules with finite-dimensional weight spaces, [M].

In [PS] it was noticed that both classifications, of irreducible Harish-Chandra modules and of irreducible weight modules, are particular cases of the problem of classifying irreducible \mathfrak{g} -modules which have finite type over their Fernando-Kac subalgebra. The *Fernando-Kac subalgebra* $\mathfrak{g}[M]$ associated to an irreducible \mathfrak{g} -module M is by definition the set of all elements in \mathfrak{g} which act locally finitely on M . The fact that $\mathfrak{g}[M]$ is a Lie subalgebra of \mathfrak{g} was discovered independently by S. Fernando, [F], and V. Kac, [K]. Furthermore, M is of *finite type* over a given subalgebra $\mathfrak{l} \subset \mathfrak{g}[M]$ if the multiplicity of an arbitrary fixed irreducible \mathfrak{l} -module in any (varying) finite-dimensional \mathfrak{l} -submodule of M is bounded. The subalgebra \mathfrak{l} is called a *Fernando-Kac subalgebra of finite type* if \mathfrak{g} admits an irreducible \mathfrak{g} -module M with $\mathfrak{g}[M] = \mathfrak{l}$ which is of finite type over \mathfrak{l} . The problem of classifying all, not necessarily reductive, Fernando-Kac subalgebras of finite type is of fundamental importance for the structure theory of \mathfrak{g} -modules. In this article we classify the reductive parts of Fernando-Kac subalgebras of finite type, as a subalgebra is primal if and only if it is a reductive part of a Fernando-Kac subalgebra of finite type.

A short outline of the paper is as follows. In Section 3 we establish some necessary, (but in general not sufficient) conditions for a subalgebra $\mathfrak{l} \subset \mathfrak{g}$ to be a Fernando-Kac subalgebra of finite type. We show in particular that a Fernando-Kac subalgebra of finite type \mathfrak{l} is algebraic and admits a natural decomposition $\mathfrak{l} = \mathfrak{l}_{red} \rtimes \mathfrak{n}_{\mathfrak{l}}$, where \mathfrak{l}_{red} is a reductive in \mathfrak{g} subalgebra which contains its centralizer, and $\mathfrak{n}_{\mathfrak{l}}$ is a nilpotent ideal in \mathfrak{l} . We also characterize completely all solvable Fernando-Kac subalgebras of finite type in \mathfrak{g} . In Section 4 we fix an arbitrary algebraic subalgebra \mathfrak{k} , reductive in \mathfrak{g} , and construct irreducible $(\mathfrak{g}, \mathfrak{k})$ -modules M of finite type over \mathfrak{k} . The construction of M is a \mathcal{D} -module version of cohomological induction: M equals the global sections of a \mathcal{D}^μ -module supported on the preimage in G/B of $K \cdot P \subset G/P$ for a suitable parabolic subgroup $P \subset G$. Here G is a connected algebraic group with Lie algebra \mathfrak{g} and K is a connected subgroup with Lie algebra \mathfrak{k} . We show then, that if \mathfrak{k} contains its centralizer in \mathfrak{g} , $\mathfrak{g}[M]_{red} = \mathfrak{k}$ for some M . Therefore, \mathfrak{k} is primal if and only if it contains its centralizer. Furthermore, as a corollary we obtain that any semisimple subalgebra of \mathfrak{g} is the derived subalgebra of a primal subalgebra, and that any subalgebra which is

not a proper subalgebra of a maximal root subalgebra is a Fernando-Kac subalgebra of finite type. In Section 5 we consider in more detail the case $\mathfrak{g} = \mathfrak{gl}(n)$. We prove that here any primal subalgebra \mathfrak{k} is itself a reductive Fernando-Kac subalgebra of finite type, and also give an explicit description of all Fernando-Kac subalgebras of finite type which contain a Cartan subalgebra.

In conclusion, for an arbitrary reductive Lie algebra \mathfrak{g} , we give a complete description of all primal subalgebras $\mathfrak{k} \subset \mathfrak{g}$, and for each primal subalgebra \mathfrak{k} we construct certain “series” of irreducible $(\mathfrak{g}, \mathfrak{k})$ -modules of finite type over \mathfrak{k} . A direct comparison with known results in the case of a symmetric pair $(\mathfrak{g}, \mathfrak{k})$, shows that the $(\mathfrak{g}, \mathfrak{k})$ -modules obtained by our construction are only a part of all irreducible $(\mathfrak{g}, \mathfrak{k})$ -modules. Consequently the problem of classifying all irreducible $(\mathfrak{g}, \mathfrak{k})$ -modules of finite type over an arbitrary primal subalgebra $\mathfrak{k} \subset \mathfrak{g}$ is still open.

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2. GENERAL PRELIMINARIES

The ground field F is algebraically closed of characteristic zero. If X is a topological space and \mathcal{F} is a sheaf of abelian groups on X , then $\Gamma(\mathcal{F})$ denotes the global sections of \mathcal{F} on X . If $f: X \rightarrow Y$ is a continuous map of topological spaces, f^{-1} denotes the topological inverse image functor from sheaves on Y to sheaves on X . If X is an algebraic variety, \mathcal{O}_X stands for the structure sheaf of X , and if $f: X \rightarrow Y$ is a morphism of algebraic varieties, f^* (respectively f_*) denotes the inverse image (resp. direct image) functor of \mathcal{O} -modules. A *multiset* is defined as a map from a set Y into $\mathbb{Z}_+ \cup \infty$, where $\mathbb{Z}_+ := \{0, 1, 2, 3, \dots\}$, or, more informally, as a set whose elements have finite or infinite multiplicities.

Throughout this paper \mathfrak{g} is a fixed reductive Lie algebra, and G stands for a connected algebraic group with Lie algebra \mathfrak{g} . Denote by $C(\mathfrak{l})$ (respectively $N(\mathfrak{l})$) the centralizer (respectively normalizer) of a subalgebra $\mathfrak{l} \subset \mathfrak{g}$. Furthermore, $U(\mathfrak{l})$ stands for the universal enveloping algebra of \mathfrak{l} , $Z(\mathfrak{l})$ stands for the center of \mathfrak{l} , $\mathfrak{r}_{\mathfrak{l}}$ stands for the solvable radical of \mathfrak{l} , and $\mathfrak{n}_{\mathfrak{l}}$ stands for the maximal ideal in \mathfrak{l} which acts nilpotently on \mathfrak{g} . The sign \ltimes denotes the semi-direct sum of Lie algebras, and \mathfrak{l}_{ss} is a Levi component of \mathfrak{l} . If \mathfrak{l} is reductive, then \mathfrak{l}_{ss} simply equals the derived subalgebra $[\mathfrak{l}, \mathfrak{l}]$. For a Borel subalgebra $\mathfrak{b} \subset \mathfrak{g}$ which contains a Cartan subalgebra \mathfrak{h} , $\rho_{\mathfrak{b}}$ denotes as usual the half-sum of the roots of \mathfrak{b} . In what follows a *root subalgebra* $\mathfrak{l} \subset \mathfrak{g}$ means a subalgebra containing a Cartan subalgebra of \mathfrak{g} .

By definition a \mathfrak{g} -module M is a $(\mathfrak{g}, \mathfrak{l})$ -module if $\mathfrak{l} \subset \mathfrak{g}[M]$. M is a *strict* $(\mathfrak{g}, \mathfrak{l})$ -module if $\mathfrak{l} = \mathfrak{g}[M]$. We also need the following definition from [PS]: M is an *isotropic* $(\mathfrak{g}, \mathfrak{l})$ -module if for each $0 \neq m \in M$ the set of elements $g \in \mathfrak{g}$ acting finitely on m coincides with \mathfrak{l} . An irreducible strict $(\mathfrak{g}, \mathfrak{l})$ -module is automatically isotropic.

The following statement is a reformulation of Lemma 1 in [PS].

Lemma 2.1. *Let \mathfrak{h} be a Cartan subalgebra in \mathfrak{g} , $\mathfrak{l} \supset \mathfrak{h}$ be a solvable subalgebra and M be an isotropic strict $(\mathfrak{g}, \mathfrak{l})$ -module of finite type over \mathfrak{h} . Then there exists a parabolic subalgebra $\mathfrak{q} \subset \mathfrak{g}$ with $\mathfrak{g} = \mathfrak{l} + \mathfrak{q}$, $\mathfrak{q} \cap \mathfrak{l} = \mathfrak{h}$, and such that the semisimple part of \mathfrak{q} is a direct sum of simple Lie algebras of types A and C .*

3. NECESSARY CONDITIONS FOR \mathfrak{l} TO BE OF A FERNANDO-KAC SUBALGEBRA OF FINITE TYPE

Theorem 3.1. *Let $\mathfrak{l} \subset \mathfrak{g}$ be a Fernando-Kac subalgebra of finite type.*

- (1) $N(\mathfrak{l}) = \mathfrak{l}$; hence \mathfrak{l} is an algebraic subalgebra of \mathfrak{g} .
- (2) There is a decomposition $\mathfrak{l} = \mathfrak{n}_{\mathfrak{l}} \ltimes \mathfrak{l}_{red}$, unique up to an inner automorphism of \mathfrak{l} , where \mathfrak{l}_{red} is a (maximal) subalgebra of \mathfrak{l} reductive in \mathfrak{g} .
- (3) Any irreducible $(\mathfrak{g}, \mathfrak{l})$ -module M of finite type over \mathfrak{l} has finite type over \mathfrak{l}_{red} and \mathfrak{l}_{red} acts semi-simply on M .
- (4) $C(\mathfrak{l}_{red}) = Z(\mathfrak{l}_{red})$, and $Z(\mathfrak{l}_{red})$ is a Cartan subalgebra of $C(\mathfrak{l}_{ss})$.
- (5) $\mathfrak{l} \cap C(\mathfrak{l}_{ss})$ is a solvable Fernando-Kac subalgebra of finite type of $C(\mathfrak{l}_{ss})$.

Proof. Let M be an irreducible strict $(\mathfrak{g}, \mathfrak{l})$ -module and $M_0 \subset M$ be an irreducible finite-dimensional \mathfrak{l} -submodule. To prove 1, assume that $N(\mathfrak{l}) \neq \mathfrak{l}$. Then one can choose $x \in N(\mathfrak{l}) \setminus \mathfrak{l}$ such that $[x, \mathfrak{l}_{ss}] = 0$ for a fixed Levi decomposition $\mathfrak{l} = \mathfrak{l}_{ss} \ltimes \mathfrak{r}_{\mathfrak{l}}$. Since $x \notin \mathfrak{l}$, x acts freely on any non-zero vector in M . Set

$$M_n := M_0 + x \cdot M_0 + x^2 \cdot M_0 + \dots + x^n \cdot M_0$$

A simple calculation, using $[x, \mathfrak{l}_{ss}] = 0$ and $[x, \mathfrak{r}_{\mathfrak{l}}] \subset \mathfrak{r}_{\mathfrak{l}}$, shows that M_n is \mathfrak{l} -invariant and M_n/M_{n-1} is isomorphic to M_0 as an \mathfrak{l} -module. Therefore the multiplicity of M_0 in M is infinite. Contradiction. To show the algebraicity of \mathfrak{l} , consider the normalizer J of \mathfrak{l} in G . The Lie subalgebra of \mathfrak{g} corresponding to J is $N(\mathfrak{l})$. Hence, $N(\mathfrak{l}) = \mathfrak{l}$ is an algebraic subalgebra of \mathfrak{g} .

Claim 2 follows from 1 via some well known statements. For instance, Corollary 1 in [B], §5 implies that a self-normalizing subalgebra \mathfrak{l} is splittable, i.e. for $y \in \mathfrak{l}$ the semisimple and nilpotent parts of y are contained in \mathfrak{l} . Proposition 7 in [B], §5 claims that any splittable subalgebra has a decomposition as required in 2.

To prove 3 note first that M is a quotient of the induced module $U(\mathfrak{g}) \otimes_{U(\mathfrak{l})} M_0$. As the adjoint action of \mathfrak{l}_{red} on $U(\mathfrak{g})$ is semisimple, \mathfrak{l}_{red} acts semisimply on $U(\mathfrak{g}) \otimes_{U(\mathfrak{l})} M_0$, and therefore also on M . Now note that there exists $\nu \in \mathfrak{n}_{\mathfrak{l}}^*$ such that

$$x \cdot m = \nu(x) m$$

for any $m \in M_0$ and $x \in \mathfrak{n}_{\mathfrak{l}}$. Since the adjoint action of $\mathfrak{n}_{\mathfrak{l}}$ on $U(\mathfrak{g})$ is locally nilpotent, we obtain that, for any $x \in \mathfrak{n}_{\mathfrak{l}}$, $x - \nu(x)$ acts locally nilpotently on $U(\mathfrak{g}) \otimes_{U(\mathfrak{l})} M_0$, and hence on M . Therefore $\mathfrak{n}_{\mathfrak{l}}$ acts via the character ν on any irreducible \mathfrak{l} -subquotient of M , and consequently two irreducible \mathfrak{l} -subquotients of M are isomorphic if and only if they are isomorphic as \mathfrak{l}_{red} -modules. This implies that M has also finite type over \mathfrak{l}_{red} , and 3 is proved.

4. By 2 any irreducible strict $(\mathfrak{g}, \mathfrak{l})$ -module M has an \mathfrak{l}_{red} -module decomposition

$$M = \oplus_i M'_i$$

for finite-dimensional isotypic components M'_i . Clearly each M'_i is $C(\mathfrak{l}_{red})$ -invariant, and, as it is finite-dimensional, $C(\mathfrak{l}_{red}) \subset \mathfrak{g}[M] = \mathfrak{l}$. Note that $C(\mathfrak{l}_{red}) \cap \mathfrak{l}$ is solvable. Consequently, since $C(\mathfrak{l}_{red}) = C(\mathfrak{l}_{ss}) \cap C(Z(\mathfrak{l}_{red})) \subset \mathfrak{l}$, the centralizer of $Z(\mathfrak{l}_{red})$ in $C(\mathfrak{l}_{ss})$ is solvable. On the other hand, as $C(\mathfrak{l}_{ss})$ is reductive and $Z(\mathfrak{l}_{red})$ is reductive in $C(\mathfrak{l}_{ss})$, the centralizer of $Z(\mathfrak{l}_{red})$ in $C(\mathfrak{l}_{ss})$ is reductive. Therefore $Z(\mathfrak{l}_{red})$ coincides with its centralizer in $C(\mathfrak{l}_{ss})$. This implies that $C(\mathfrak{l}_{red}) = C(\mathfrak{l}_{ss}) \cap C(Z(\mathfrak{l}_{red})) = Z(\mathfrak{l}_{red})$, and that $Z(\mathfrak{l}_{red})$ is a Cartan subalgebra of $C(\mathfrak{l}_{ss})$.

To show 5 decompose M as

$$M = \oplus_i (M_i \otimes V_i),$$

where M_i are pairwise non-isomorphic irreducible \mathfrak{l}_{ss} -modules, and V_i are $C(\mathfrak{l}_{ss})$ -modules. Then each V_i is a strict isotropic $(C(\mathfrak{l}_{ss}), \mathfrak{l} \cap C(\mathfrak{l}_{ss}))$ -module of finite type over $\mathfrak{l} \cap C(\mathfrak{l}_{ss})$. Furthermore $\mathfrak{l} \cap C(\mathfrak{l}_{ss})$ is solvable, and 5 follows from Lemma 2.1. \square

The conditions in Theorem 3.1 are not sufficient for \mathfrak{l} to be a Fernando-Kac subalgebra of finite type: see the Example in subsection 5.3. In general, the problem of a complete characterization of a Fernando-Kac subalgebra of finite type is open. However, for a solvable \mathfrak{l} we have the answer.

Proposition 3.2. *A solvable subalgebra $\mathfrak{l} \subset \mathfrak{g}$ is a Fernando-Kac subalgebra of finite type if and only if $\mathfrak{l} = \mathfrak{h} \ni \mathfrak{n}_{\mathfrak{l}}$, where \mathfrak{h} is a Cartan subalgebra of \mathfrak{g} and $\mathfrak{n}_{\mathfrak{l}}$ is the nilradical of a parabolic subalgebra of \mathfrak{g} whose simple components are all of types A and C.*

Proof. Here $\mathfrak{l}_{ss} = 0$, $C(\mathfrak{l}_{ss}) = \mathfrak{g}$, and Theorem 3.1 4 implies that $\mathfrak{h} := \mathfrak{l}_{red}$ is a Cartan subalgebra of \mathfrak{g} . The claim of the Corollary follows now immediately from [PS], Sect. 3 where a criterion for \mathfrak{l} to be a Fernando-Kac subalgebra of finite type is established under the assumption that $\mathfrak{l} \supset \mathfrak{h}$. \square

Note that Theorem 3.1 3 and Proposition 3.2, applied to a solvable \mathfrak{l} , yield that any strict irreducible $(\mathfrak{g}, \mathfrak{l})$ -module of finite type over \mathfrak{l} is a weight module with finite-dimensional weight spaces. Such modules are classified by O. Mathieu in [M]. More precisely, any irreducible weight module M with finite-dimensional weight spaces is the unique irreducible quotient of an induced module $U(\mathfrak{g}) \otimes_{U(\mathfrak{p})} M^{\mathfrak{n}_{\mathfrak{p}}}$, where \mathfrak{p} is a parabolic subalgebra and $M^{\mathfrak{n}_{\mathfrak{p}}}$ is the $\mathfrak{n}_{\mathfrak{p}}$ -submodule of $\mathfrak{n}_{\mathfrak{p}}$ -invariants in M . The Fernando-Kac subalgebra $\mathfrak{g}[M]$ of M equals $(\mathfrak{g}[M] \cap \mathfrak{p}_{red}) \ni \mathfrak{n}_{\mathfrak{p}}$, and it is solvable if and only if $\mathfrak{g}[M] \cap \mathfrak{p}_{red}$ is a Cartan subalgebra of \mathfrak{g} (in general $\mathfrak{g}[M] \cap \mathfrak{p}_{red}$ is the sum of a Cartan subalgebra and an ideal in \mathfrak{p}_{ss}).

4. A CONSTRUCTION OF IRREDUCIBLE $(\mathfrak{g}, \mathfrak{k})$ -MODULES OF FINITE TYPE

4.1. A geometric set up. Let $\mathfrak{k} \subset \mathfrak{g}$ be an algebraic subalgebra, reductive in \mathfrak{g} and such that \mathfrak{k}_{ss} is proper in \mathfrak{g}_{ss} . Denote by K the connected subgroup of G with Lie algebra \mathfrak{k} , and let K_{ss} be the connected subgroup corresponding to \mathfrak{k}_{ss} . By H_K we denote a fixed Cartan subgroup of K , with Lie algebra $\mathfrak{h}_{\mathfrak{k}}$. Fix an element $h \in \mathfrak{h}_{\mathfrak{k}}$ such that $C(Fh) \subset C(\mathfrak{h}_{\mathfrak{k}} \cap \mathfrak{k}_{ss})$ and for which the operator $\text{ad}_h : \mathfrak{g} \rightarrow \mathfrak{g}$ has rational eigenvalues. The element h defines the parabolic subalgebra

$$(4.1) \quad \mathfrak{p} := \bigoplus_{\gamma \geq 0} \mathfrak{g}_h^\gamma,$$

where \mathfrak{g}_h^γ is the γ -eigenspace of $\text{ad}_h : \mathfrak{g} \rightarrow \mathfrak{g}$. Clearly $\mathfrak{b}_{\mathfrak{k}} := \mathfrak{p} \cap \mathfrak{k}$ is a Borel subalgebra of \mathfrak{k} containing $\mathfrak{h}_{\mathfrak{k}}$. Notice also that $\mathfrak{p}_{red} := \mathfrak{g}_{\mathfrak{h}}^0$ is a maximal reductive in \mathfrak{g} subalgebra of \mathfrak{p} . Let P be the subgroup of G corresponding to \mathfrak{p} , and $P_{ss} \subset P$ be the connected subgroup corresponding to a fixed Levi component \mathfrak{p}_{ss} of \mathfrak{p} . Furthermore, let $B \subset P$ be a Borel subgroup of G such that $B_K = B \cap K$ has Lie algebra $\mathfrak{b}_{\mathfrak{k}}$. Set $X := G/B$, $Y := G/P$ and let $\pi : X \rightarrow Y$ be the natural projection. Denote by S the K -orbit of the closed point in Y corresponding to P , and put $V := \pi^{-1}(S)$.

Lemma 4.1. $V \cong S \times T$, where $T := P/B$.

Proof. V is a relative flag variety over S with fiber $T = P/B \cong P_{ss}/(P_{ss} \cap B)$. Moreover, $V = K_{ss} \times_{K_{ss} \cap P} T$. To be able to conclude that the bundle $V \rightarrow S$ is trivial it suffices to check that the action of $K_{ss} \cap P$ on T is trivial. The solvable radical of P lies in B , hence the action of $K_{ss} \cap P$ on T factors through the action of $K_{ss} \cap P_{ss}$ on T . The fact that $K_{ss} \cap P_{ss}$ acts trivially on T follows from the inclusion

$$(4.2) \quad K_{ss} \cap P_{ss} \subset Z(P_{ss}),$$

where $Z(G')$ stands for the center of an algebraic group G' . In the rest of the proof, we establish (4.2).

We show first that $\mathfrak{p}_{ss} \cap \mathfrak{k}_{ss} = 0$. By the definition of \mathfrak{p} ,

$$\mathfrak{h}_{\mathfrak{k}} \cap \mathfrak{k}_{ss} \subset \mathfrak{p}_{red} \subset C(\mathfrak{h}_{\mathfrak{k}} \cap \mathfrak{k}_{ss}).$$

Therefore

$$(4.3) \quad \mathfrak{h}_{\mathfrak{k}} \cap \mathfrak{k}_{ss} \subset Z(\mathfrak{p}_{red})$$

and

$$(4.4) \quad \mathfrak{p}_{ss} \cap \mathfrak{k}_{ss} \subset C(\mathfrak{h}_{\mathfrak{k}} \cap \mathfrak{k}_{ss}) \cap \mathfrak{k}_{ss}.$$

Furthermore, $\mathfrak{p}_{ss} \cap \mathfrak{h}_{\mathfrak{k}} \cap \mathfrak{k}_{ss} = 0$ as

$$(4.5) \quad \mathfrak{p}_{red} = \mathfrak{p}_{ss} \oplus Z(\mathfrak{p}_{red}).$$

The observation that $C(\mathfrak{h}_{\mathfrak{k}} \cap \mathfrak{k}_{ss}) \cap \mathfrak{k}_{ss}$ equals the centralizer in \mathfrak{k}_{ss} of $\mathfrak{h}_{\mathfrak{k}} \cap \mathfrak{k}_{ss}$, together with (4.4) yields

$$\mathfrak{p}_{ss} \cap \mathfrak{k}_{ss} \subset C(\mathfrak{h}_{\mathfrak{k}} \cap \mathfrak{k}_{ss}) \cap \mathfrak{k}_{ss} = \mathfrak{h}_{\mathfrak{k}} \cap \mathfrak{k}_{ss} \subset Z(\mathfrak{p}_{red}).$$

This implies $\mathfrak{p}_{ss} \cap (\mathfrak{p}_{ss} \cap \mathfrak{k}_{ss}) = 0$, or equivalently $\mathfrak{p}_{ss} \cap \mathfrak{k}_{ss} = 0$.

On the group level, (4.5) implies $P_{ss} \cap Z(P_{red}) \subset Z(P_{ss})$. Similarly, (4.3) yields $H_K \cap K_{ss} \subset Z(P_{red})$. Hence $P_{ss} \cap H_K \cap K_{ss} \subseteq Z(P_{ss})$. By (4.4),

$$(4.6) \quad P_{ss} \cap K_{ss} \subset C(H_K \cap K_{ss}) \cap K_{ss},$$

where $C(G')$ now stands for the centralizer in G of a closed subgroup $G' \subset G$. Since $C(H_K \cap K_{ss}) \cap K_{ss}$ is the centralizer of $H_K \cap K_{ss}$ in K_{ss} , the fact that a Cartan subgroup of K_{ss} is self-centralizing yields via (4.6)

$$P_{ss} \cap K_{ss} \subset C(H_K \cap K_{ss}) \cap K_{ss} = H_K \cap K_{ss} \subset Z(P_{red}).$$

Therefore

$$P_{ss} \cap (P_{ss} \cap K_{ss}) \subset P_{ss} \cap Z(P_{red}) = Z(P_{ss}),$$

or equivalently $P_{ss} \cap K_{ss} \subset Z(P_{ss})$. This completes the proof. \square

4.2. \mathcal{D} -module preliminaries. For any $\mu \in \mathfrak{h}^*$ let \mathcal{D}^μ denote the twisted sheaf of differential operators on X defined in [BB]. A \mathcal{D}^μ -module is by convention a sheaf \mathcal{F} of \mathcal{D}^μ -modules on X which is quasicoherent as a sheaf of \mathcal{O}_X -modules. The support of \mathcal{F} is the closure of the subvariety of all closed points for which the sheaf-theoretic fiber of \mathcal{F} is non-zero. A weight $\mu \in \mathfrak{h}^*$ defines the character θ^μ of the center of $U(\mathfrak{g})$ via the Harish-Chandra map (see [B], §6).

When the ground field F is not \mathbf{C} , by a *dominant* weight we mean an element $\mu \in \mathfrak{h}^*$ whose value on all B -positive co-roots is a nonnegative rational number. For $F = \mathbf{C}$ it suffices that the value has nonnegative real part. The Beilinson-Bernstein localization theorem claims that, for a regular dominant μ , the functor of global sections

$$\Gamma: \mathcal{D}^\mu\text{-mod} \rightarrow U(\mathfrak{g}) / (\ker \theta^\mu)\text{-mod}$$

is an equivalence between the category of \mathcal{D}^μ -modules and the category of $U(\mathfrak{g}) / (\ker \theta^\mu)$ -modules, where $(\ker \theta^\mu)$ stands for the two-sided ideal in $U(\mathfrak{g})$ generated by the kernel of the central character θ^μ . The inverse equivalence (usually referred to as localization) is given by the functor

$$R \mapsto \mathcal{D}^\mu \otimes_{\Gamma(\mathcal{D}^\mu)} R,$$

where the $U(\mathfrak{g}) / (\ker \theta^\mu)$ -module R is endowed with a $\Gamma(\mathcal{D}^\mu)$ -module structure via the natural isomorphism $U(\mathfrak{g}) / (\ker \theta^\mu) \rightarrow \Gamma(\mathcal{D}^\mu)$, see [BB].

Let $i: W \rightarrow X$ define a non-singular locally closed subvariety of X ; we denote by \mathcal{D}_W^μ the sheaf of right $i^*\mathcal{D}^\mu$ -module endomorphisms of the inverse image sheaf $i^*\mathcal{D}^\mu$ which are left \mathcal{O}_W -module differential operators. Furthermore, the inverse image functor i^* of \mathcal{O} -modules yields a functor

$$i^\star: \mathcal{D}^\mu\text{-mod} \rightarrow \mathcal{D}_W^\mu\text{-mod}.$$

If W is a closed subvariety we will also consider the direct image functor

$$\begin{aligned} i_\star: \mathcal{D}_W^\mu\text{-mod} &\rightarrow \mathcal{D}^\mu\text{-mod}, \\ \mathcal{F} &\mapsto \mathcal{D}_{\leftarrow W}^\mu \otimes_{\mathcal{D}_W^\mu} \mathcal{F}, \end{aligned}$$

where $\mathcal{D}_{\leftarrow W}^\mu := i_\star (\mathcal{D}^\mu \otimes_{\mathcal{O}_X} \Omega_X^*) \otimes_{\mathcal{O}_W} \Omega_W$ and Ω stands for volume forms. Kashiwara's theorem claims that i_\star is an equivalence between the category of \mathcal{D}_W^μ -modules and the category of \mathcal{D}^μ -modules supported in W . It will also be important for us that the sheaf $i^{-1}i_\star \mathcal{F}$ has a natural \mathcal{O}_W -module filtration with successive quotients

$$(4.7) \quad \Lambda^{\max} (\mathcal{N}_{W|X}) \otimes_{\mathcal{O}_W} S^i (\mathcal{N}_{W|X}) \otimes_{\mathcal{O}_W} \mathcal{F},$$

where $i \in \mathbb{Z}_+$, $\mathcal{N}_{W|X}$ denotes the normal bundle of W in X , S^i stands for i -th symmetric power and Λ^{\max} stands for maximal exterior power.

In [PS] the following lemma is proven.

Lemma 4.2. *If Q is the support of a \mathcal{D}^μ -module \mathcal{F} , then $\mathfrak{g}[\Gamma(\mathcal{F})] \subset \text{Stab}_{\mathfrak{g}} Q$, where $\text{Stab}_{\mathfrak{g}} Q$ is the Lie algebra of the subgroup of G which stabilizes Q .*

4.3. The construction. Let L be an irreducible $(\mathfrak{p}, \mathfrak{h}_{\mathfrak{k}})$ -module of finite type over $\mathfrak{h}_{\mathfrak{k}}$ with trivial action of $\mathfrak{n}_{\mathfrak{p}} + (Z(\mathfrak{p}_{red}) \cap \mathfrak{k}_{ss})$ and with \mathfrak{p}_{red} -central character $\theta_{\mathfrak{p}_{red}}^\nu$ for some $P_{ss} \cap B$ -dominant weight $\nu \in \mathfrak{h}^*$. Consider $T = P/B$ as a (non-singular) closed subvariety of $X = G/B$. Set $\mathcal{L} := \mathcal{D}_T^\eta \otimes_{\Gamma(\mathcal{D}_T^\eta)} L$, where $\eta = \nu + \rho_{\mathfrak{b} \cap \mathfrak{p}_{red}} - \rho_{\mathfrak{b}}$. Let, furthermore, $\mathcal{O}_S(\zeta)$ be the invertible K_{ss} -sheaf of local sections on S of the line bundle $K \times_{K \cap P} (F_{w(\zeta)})$, where w is the longest element in the Weyl group of \mathfrak{k}_{ss} , ζ is a \mathfrak{k}_{ss} -integral weight in \mathfrak{h}^* and F_ξ stands for the one-dimensional \mathfrak{h} -module of weight ξ . Then $\mathcal{F} := \mathcal{O}_S(\zeta) \boxtimes \mathcal{L}$ is a \mathcal{D}_V^μ -module for $\mu = \zeta + \eta$, and $\mathcal{M} = i_\star \mathcal{F}$ is a \mathcal{D}^μ -module. Finally, set $M = \Gamma(\mathcal{M})$.

Theorem 4.3. *Assume that ζ is dominant and μ is regular and dominant. Then*

- (1) M is an infinite-dimensional irreducible \mathfrak{g} -module;
- (2) $\mathfrak{g}[M] = \mathfrak{k}_{ss} \mathfrak{D} \mathfrak{m}_L$, where \mathfrak{m}_L is the maximal \mathfrak{k}_{ss} -invariant subspace in $\mathfrak{p}[L]$; moreover $\mathfrak{g}[M]$ is the unique maximal subalgebra in $\mathfrak{p}[L] + \mathfrak{k}$ which contains \mathfrak{k} ;
- (3) M is a $(\mathfrak{g}, \mathfrak{k})$ -module of finite type over \mathfrak{k} .

Proof. \mathcal{D}_T^η is a sheaf of twisted differential operators on the flag variety T . By the Beilinson-Bernstein theorem applied to T , \mathcal{L} is an irreducible \mathcal{D}_T^η -module. Furthermore, \mathcal{F} is an irreducible \mathcal{D}_V^μ -module. Since V is a non-singular closed subvariety, \mathcal{M} is an irreducible \mathcal{D}^μ -module by Kashiwara's theorem. Finally, by the Beilinson-Bernstein theorem applied to X , $M = \Gamma(\mathcal{M})$ is an irreducible \mathfrak{g} -module. 1 is proven.

To prove 2 consider the subalgebra $\text{Stab}_{\mathfrak{g}} Q$, where Q is the support of the \mathcal{D}^μ -module \mathcal{M} . Note that $Q \subset V$ and that $V = \pi^{-1}(\pi(Q))$. Hence, $\text{Stab}_{\mathfrak{g}} Q$ is a subalgebra of $\mathfrak{st} := \text{Stab}_{\mathfrak{g}} V$. One can check easily that

$$(4.8) \quad \mathfrak{st} = \mathfrak{k}_{ss} \mathfrak{D} \mathfrak{m},$$

where \mathfrak{m} is the maximal \mathfrak{k}_{ss} -invariant subspace in \mathfrak{p} . Thus \mathfrak{st} is a maximal subalgebra in $\mathfrak{k} + \mathfrak{p}$ containing \mathfrak{k} . By Lemma 4.2, $\mathfrak{g}[M] \subset \text{Stab}_{\mathfrak{g}} Q \subset \mathfrak{st}$ and therefore $\mathfrak{g}[M] = \mathfrak{st}[M]$.

Recall now that by (4.7) $i^{-1}\mathcal{M} = i^{-1}i_{\star}\mathcal{F}$ (considered as an \mathfrak{st} -sheaf) has a natural \mathfrak{st} -sheaf filtration with successive quotients

$$\Lambda^{\max}(\mathcal{N}_{V|X}) \otimes_{\mathcal{O}_V} S^i(\mathcal{N}_{V|X}) \otimes_{\mathcal{O}_V} \mathcal{F}.$$

In particular, $\mathcal{M}_0 := \Lambda^{\max}(\mathcal{N}_{V|X}) \otimes_{\mathcal{O}_V} \mathcal{F}$ is a subsheaf of $i^{-1}\mathcal{M}$. As $\mathcal{N}_{V|X} \cong \mathcal{N}_{S|Y} \boxtimes \mathcal{O}_Z$, $\Lambda^{\max}(\mathcal{N}_{V|X}) \cong \mathcal{O}_S(\tau) \boxtimes \mathcal{O}_Z$, where $\tau = -w\left(\sum_{\alpha \in \Delta(\mathfrak{n}_{\mathfrak{p}})} \alpha\right) - 2\rho_{\mathfrak{b} \cap \mathfrak{k}_{ss}}$. Therefore $\mathcal{M}_0 \cong \mathcal{O}_S(\tau + \zeta) \boxtimes \mathcal{L}$ and

$$M_0 := \Gamma(\mathcal{M}_0) \cong \Gamma(\pi_*\mathcal{M}_0) \cong \Gamma(\mathcal{O}_S(\tau + \zeta)) \otimes L.$$

Both weights τ and ζ are dominant. Hence $\tau + \zeta$ is \mathfrak{k}_{ss} -dominant, $M_0 \neq 0$, and by the irreducibility of M ,

$$(4.9) \quad \mathfrak{g}[M] = \mathfrak{st}[M] = \mathfrak{st}[M_0].$$

To calculate $\mathfrak{st}[M_0]$ we use that $\Gamma(\mathcal{M}_0) \cong \Gamma(\pi_*\mathcal{M}_0)$. Observe that $\pi_*\mathcal{M}_0$ is the sheaf of sections of the induced vector bundle $K_{ss} \times_{K_{ss} \cap P} (F_{w(\zeta+\tau)} \otimes L)$. The latter is a K_{ss} -sheaf, hence $\mathfrak{k}_{ss} \subset \mathfrak{st}[M_0]$. By (4.8) and (4.9), $\mathfrak{g}[M] = \mathfrak{k}_{ss} \mathfrak{D} \mathfrak{m}_L$, where $\mathfrak{m}_L = \mathfrak{g}[M] \cap \mathfrak{m}$. To calculate \mathfrak{m}_L , let's write down the action of \mathfrak{m} on $\Gamma(\pi_*\mathcal{M}_0)$. An element of $\Gamma(\pi_*\mathcal{M}_0)$ is a function $\phi : K_{ss} \rightarrow F_{w(\zeta+\tau)} \otimes L$ satisfying the condition $\phi(ab) = b^{-1}\phi(a)$ for all $a \in K_{ss}, b \in K_{ss} \cap P$. For $x \in \mathfrak{m}$ and $a \in K_{ss}$ we have

$$(4.10) \quad (L_x\phi)(a) = \text{Ad}_a^{-1}(x)(\phi(a)),$$

where $L_x\phi$ stands for the action of x on ϕ . This formula immediately implies that

$$\mathfrak{m}_L \subset \{x \in \mathfrak{m} \mid \text{Ad}_{K_{ss}}(x) \subset \mathfrak{m}[F_{w(\zeta+\tau)} \otimes L] = \mathfrak{m}[L]\}.$$

To see that \mathfrak{m}_L is equal to the right hand side, let U be a unipotent subgroup of K_{ss} complementary to $K_{ss} \cap P$. U acts simply transitively on an open dense subset of S . Consider a U -invariant function $f : K_{ss} \rightarrow L$. For any $a \in U$ we have $f(a) = f(1)$. Let x be in $\mathfrak{m}[L]$ and assume x is $\text{Ad}U$ -invariant. Then by (4.10), x acts locally finitely on f , and, by the irreducibility of M , x acts locally finitely on M . Finally, any y obtained from x by the action of K_{ss} also acts locally finitely on M . Hence

$$(4.11) \quad \mathfrak{m}_L = \{x \in \mathfrak{m} \mid \text{Ad}_{K_{ss}}(x) \subset \mathfrak{m}[F_{w(\zeta+\tau)} \otimes L] = \mathfrak{m}[L]\}.$$

In other words, \mathfrak{m}_L is the maximal \mathfrak{k}_{ss} -invariant subspace in $\mathfrak{m}[L]$, or equivalently in $\mathfrak{p}[L]$. Consequently $\mathfrak{k}_{ss} \mathfrak{D} \mathfrak{m}_L$ is the maximal subalgebra in $\mathfrak{k} + \mathfrak{p}[L]$ containing \mathfrak{k} , and 2 is proven.

It remains to prove 3. Let $j : S \rightarrow Y$ be the natural embedding. Observe that the isomorphism $\mathcal{N}_{V|X} \cong \mathcal{N}_{S|Y} \boxtimes \mathcal{O}_Z$ yields an isomorphism of \mathfrak{k} -sheaves

$$j^{-1}j_{\star}\mathcal{O}_S(\zeta) \boxtimes \mathcal{L} \cong i^{-1}i_{\star}(\mathcal{O}_S(\zeta) \boxtimes \mathcal{L}) \cong i^{-1}\mathcal{M}.$$

Therefore we have an isomorphism of \mathfrak{k} -modules

$$\Gamma(\mathcal{M}) \cong \Gamma(\pi_*\mathcal{M}) \cong \Gamma(j_{\star}\mathcal{O}_S(\zeta)) \otimes L,$$

where the action of \mathfrak{k}_{ss} on L is trivial and the action of $Z(\mathfrak{k})$ is induced by the embedding $Z(\mathfrak{k}) \subset \mathfrak{p}_{red}$. By (4.7) $j^{-1}j_{\star}\mathcal{O}_S(\zeta)$ has a filtration by \mathfrak{k} -sheaves with successive quotients

$$S^i(\mathcal{N}_{S|Y}) \otimes_{\mathcal{O}_S} \mathcal{O}_S(\zeta + \tau).$$

Consequently, M has a \mathfrak{k} -module filtration whose associated graded \mathfrak{k} -module is a submodule of

$$\Gamma(S(\mathcal{N}_{S|Y}) \otimes_{\mathcal{O}_S} \mathcal{O}_S(\zeta + \tau)) \otimes L.$$

The sheaf $S(\mathcal{N}_{S|Y}) \otimes_{\mathcal{O}_S} \mathcal{O}_S(\zeta + \tau)$ is locally free on S , and has a filtration with invertible successive quotients $\mathcal{O}_S(\kappa)$, where κ runs over the multiset Θ of weights in $\mathfrak{h}_{\mathfrak{k}}^*$

$$\Theta = \{\zeta + \tau + \sum_{n_{\alpha} \in \mathbb{N}} n_{\alpha} \alpha \mid n_{\alpha} \in \mathbb{Z}_+\}.$$

Here we take the summation over all weights α of the $\mathfrak{h}_{\mathfrak{k}}$ -module $\mathfrak{n}_{\mathfrak{p}}/(\mathfrak{n}_{\mathfrak{p}} \cap \mathfrak{k})$. Thus the multiplicity of the irreducible \mathfrak{k} -module with the highest weight κ in M is majorized by the multiplicity of κ in $\Theta + \Theta_L$, where Θ_L is the multiset of $\mathfrak{h}_{\mathfrak{k}}$ -weights of L . Our goal is to show that the multiset $\Theta + \Theta_L$ has finite multiplicities. For any multiset $C \subset \mathfrak{h}_{\mathfrak{k}}^*$ and $t \in F$, put $C^t := \{\kappa \in C \mid \kappa(h) = t\}$. Then $\Theta_L = \Theta_L^{t_0}$ for some $t_0 \in F$, and $(\Theta + \Theta_L)^t = \Theta^{t-t_0} + \Theta_L$. As L has finite type over $\mathfrak{h}_{\mathfrak{k}}$, Θ_L has finite multiplicities. Furthermore, Θ^{t-t_0} is a finite multiset as $\alpha(h)$ are all positive. Therefore $(\Theta + \Theta_L)^t$ has finite multiplicities, and thus $\Theta + \Theta_L$ also has finite multiplicities. Theorem 4.3 is proven. \square

The construction in Theorem 4.3 does not provide all irreducible $(\mathfrak{g}, \mathfrak{k})$ -modules of finite type over \mathfrak{k} . Consider for instance the case when \mathfrak{k} is symmetric, i.e. \mathfrak{k} is stable under an involution of \mathfrak{g} . Here irreducible $(\mathfrak{g}, \mathfrak{k})$ -modules of finite type over \mathfrak{k} are nothing but irreducible Harish-Chandra modules. The Beilinson-Bernstein classification of irreducible Harish-Chandra modules implies that the supports of their corresponding localizations (the latter are \mathcal{D}^{μ} -modules on $X = G/B$) run over the closures of all K -orbits in X . In particular, there are infinite-dimensional irreducible Harish-Chandra modules whose localizations are supported on the closure X of the open orbit of K in G/B . These latter modules do not appear among the modules constructed in Theorem 4.3, as all \mathcal{D}^{μ} -modules \mathcal{M} considered above are supported on a closed proper subvariety of X .

4.4. Description of primal subalgebras.

Theorem 4.4. *Let \mathfrak{k} be a reductive in \mathfrak{g} subalgebra with $C(\mathfrak{k}) = Z(\mathfrak{k})$. Then \mathfrak{k} is primal, i.e. there exists a Fernando-Kac subalgebra of finite type $\mathfrak{l} \subset \mathfrak{g}$ such that $\mathfrak{l}_{red} = \mathfrak{k}$. In addition, \mathfrak{l} can be chosen so that $\mathfrak{n}_{\mathfrak{l}}$ is the nilradical of a Borel subalgebra of $C(\mathfrak{k}_{ss})$.*

Proof. The assumption $C(\mathfrak{k}) = Z(\mathfrak{k})$ implies that $Z(\mathfrak{k})$ is a Cartan subalgebra of $C(\mathfrak{k}_{ss})$. Let h' be a semisimple element in $\mathfrak{h}_{\mathfrak{k}} \cap \mathfrak{k}_{ss}$ such that $C(Fh') = C(\mathfrak{h}_{\mathfrak{k}} \cap \mathfrak{k}_{ss})$

and $\text{ad}_{h'} : \mathfrak{g} \rightarrow \mathfrak{g}$ has rational eigenvalues γ'_i . Let furthermore $h'' \in \mathfrak{h}_{\mathfrak{k}} \cap Z(\mathfrak{k})$ be a regular element in $C(\mathfrak{k}_{ss})$ for which $\text{ad}_{h''} : \mathfrak{g} \rightarrow \mathfrak{g}$ has rational eigenvalues γ''_j , and such that

$$(4.12) \quad |\gamma''_j| < \min_{\gamma'_i \neq 0} |\gamma'_i|$$

for all j . Denote by \mathfrak{p} the parabolic subalgebra of \mathfrak{g} defined by the element $h := h' + h''$ and let L be a 1-dimensional \mathfrak{p} -module. Theorem 4.3 applies to the triple $(\mathfrak{k}, \mathfrak{p}, L)$ (as \mathfrak{k} is automatically algebraic) and hence yields an irreducible $(\mathfrak{g}, \mathfrak{k})$ -module M of finite type over \mathfrak{k} . Put $\mathfrak{l} := \mathfrak{g}[M]$. Then $\mathfrak{l} = \mathfrak{k}_{ss} \supseteq \mathfrak{m}$, where as in the proof of Theorem 4.3 \mathfrak{m} is the maximal \mathfrak{k}_{ss} -invariant subspace in \mathfrak{p} . Let κ be the $\mathfrak{p} \cap \mathfrak{k}_{ss}$ -lowest weight of an irreducible \mathfrak{k}_{ss} -submodule in \mathfrak{m} . We have $\kappa(h') = \gamma'_i \leq 0$ for some i . On the other hand, as $\mathfrak{m} \subset \mathfrak{p}$, $\kappa(h' + h'') \geq 0$. Condition (4.12) gives $\kappa(h') = 0$, i.e. $\mathfrak{m} = C(\mathfrak{k}_{ss}) \cap \mathfrak{p}$. As h'' is regular in $C(\mathfrak{k}_{ss})$, \mathfrak{m} is a Borel subalgebra in $C(\mathfrak{k}_{ss})$, hence \mathfrak{m} is solvable and $\mathfrak{m} = Z(\mathfrak{k}_{ss}) + [\mathfrak{m}, \mathfrak{m}]$. Therefore $\mathfrak{l}_{red} = \mathfrak{k}$, $\mathfrak{n}_{\mathfrak{l}} = [\mathfrak{m}, \mathfrak{m}]$ and $[\mathfrak{n}_{\mathfrak{l}}, \mathfrak{k}_{ss}] = 0$. \square

Corollary 4.5. *A reductive in \mathfrak{g} subalgebra \mathfrak{k} is primal if and only if $C(\mathfrak{k}) = Z(\mathfrak{k})$.*

Proof. The statement follows directly from Theorems 4.4 and 3.1 4. \square

Corollary 4.5, together with the remark that \mathfrak{k} is primal if and only if $\mathfrak{k} = \mathfrak{l}_{red}$ for a Fernando-Kac subalgebra of finite type, reduces the problem of classifying all Fernando-Kac subalgebras of finite type to the problem of describing all nilpotent subalgebras \mathfrak{n} such that $\mathfrak{k} \supseteq \mathfrak{n}$ is a Fernando-Kac subalgebra of finite type, \mathfrak{k} being a fixed primal subalgebra of \mathfrak{g} . The latter problem is open. In the next section we solve this problem in the case when $\mathfrak{g} = \mathfrak{gl}(n)$ and \mathfrak{k} is a root subalgebra, and show also that every primal subalgebra of $\mathfrak{gl}(n)$ is a Fernando-Kac subalgebra of finite type.

For simple Lie algebras not of type A , it is not true that any primal subalgebra is itself a Fernando-Kac subalgebra of finite type. Indeed, Proposition 3.2 implies that a Cartan subalgebra of a simple Lie algebra \mathfrak{g} (which is always primal) is a Fernando-Kac subalgebra of finite type if and only if \mathfrak{g} is of type A or C . (This was proved first by S. Fernando in [F].) An important particular case of the above open problem is the problem of characterizing all primal subalgebras which are Fernando-Kac subalgebras of finite type.

We conclude this section with an application to the classical theory of subalgebras of a semisimple Lie algebra. In the fundamental paper [D], an important role is played by subalgebras $\mathfrak{s} \subset \mathfrak{g}$ which are not contained in a proper root subalgebra. We propose the term *stem subalgebra*. By Theorem 7.3 and 7.4 of [D], a stem subalgebra is necessarily semisimple with zero centralizer. Here are some well known examples of stem subalgebras.

- (1) A principal $\mathfrak{sl}(2)$ -subalgebra is a stem subalgebra.
- (2) If $\mathfrak{g} = \mathfrak{sl}(n)$, a proper subalgebra $\mathfrak{s} \in \mathfrak{g}$ is a stem subalgebra if and only if the defining representation of \mathfrak{g} is irreducible over \mathfrak{s} .

- (3) In general, any semisimple maximal subalgebra is either a stem subalgebra or a root subalgebra. For instance, if $n \geq 3$ is odd, $\mathfrak{o}(n) \oplus \mathfrak{o}(n)$ is a stem subalgebra of $\mathfrak{o}(2n)$. (This is moreover a symmetric pair.) Another well known example of a stem subalgebra is $G_2 \oplus F_4$ in E_8 .
- (4) If \mathfrak{g} is an exceptional simple Lie algebra over \mathbb{C} , Table 39 of [D] gives a complete catalog of the stem subalgebras of \mathfrak{g} .

Theorem 4.4 combined with Theorems 7.3 and 7.4 in [D] imply

Corollary 4.6. *If $\mathfrak{g} = \mathfrak{g}_{ss}$, any stem subalgebra is a Fernando-Kac subalgebra of finite type.*

Finally, we have

Corollary 4.7. *If $\mathfrak{g} = \mathfrak{g}_{ss}$, every maximal proper subalgebra $\mathfrak{l} \subset \mathfrak{g}$ is a Fernando-Kac subalgebra of finite type.*

Proof. By a theorem of F. Karpelevic, [Kar], \mathfrak{l} is a parabolic subalgebra or a semisimple subalgebra. If \mathfrak{l} is parabolic the statement is obvious as any module induced from a finite-dimensional \mathfrak{l} -module has finite \mathfrak{l} -multiplicities. Let \mathfrak{l} be semisimple. Then $C(\mathfrak{l}) = 0$, and thus \mathfrak{l} is primal by Corollary 4.5. But as \mathfrak{l} is maximal, any irreducible infinite-dimensional $(\mathfrak{g}, \mathfrak{l})$ -module of finite type is strict, i.e. \mathfrak{l} is a Fernando-Kac subalgebra of finite type. \square

5. THE CASE $\mathfrak{g} = \mathfrak{gl}(n)$

5.1. Description of reductive Fernando-Kac subalgebras of finite type.

Theorem 5.1. *A reductive in $\mathfrak{g} = \mathfrak{gl}(n)$ subalgebra \mathfrak{k} is a Fernando-Kac subalgebra of finite type if and only if it is primal, or equivalently, if and only if $C(\mathfrak{k}) = Z(\mathfrak{k})$.*

Proof. By Theorem 3.1 it suffices to prove that if $C(\mathfrak{k}) = Z(\mathfrak{k})$, then \mathfrak{k} is a Fernando-Kac subalgebra of finite type. We will modify the argument in the proof of Theorem 4.4 under the assumption that $\mathfrak{g} = \mathfrak{gl}(n)$.

Let $h = h' + h''$, \mathfrak{p} and \mathfrak{m} be as in the proof of Theorem 4.4. In particular $\mathfrak{m} = C(\mathfrak{k}_{ss}) \cap \mathfrak{p}$. We claim that h'' can be chosen so that, in addition, there is a decomposition $\mathfrak{p} = \mathfrak{a}' \oplus \mathfrak{a}$ and an isomorphism $p : C(\mathfrak{k}_{ss}) \rightarrow \mathfrak{a}$. Here is how this claim implies the Theorem. Note that $C(\mathfrak{k}_{ss})$ is a direct sum of an abelian ideal and simple ideals of type A. Choose now L to be a strict irreducible $(C(\mathfrak{k}_{ss}), Z(\mathfrak{k}))$ -module of finite type over $Z(\mathfrak{k})$. Define a \mathfrak{p} -module structure on L by putting $\mathfrak{a}' \cdot L = 0$ and letting \mathfrak{a} act on L via the isomorphism p . One can see immediately that L is an irreducible $(\mathfrak{p}, \mathfrak{h}_{\mathfrak{k}})$ -module of finite type over $\mathfrak{h}_{\mathfrak{k}}$ with $\mathfrak{p}[L] = \mathfrak{a}' + \mathfrak{h}$. Apply the construction in Theorem 4.3 to the triple $(\mathfrak{k}, \mathfrak{p}, L)$ to obtain a $(\mathfrak{g}, \mathfrak{k})$ -module M of finite type over \mathfrak{k} . As $\mathfrak{m} \subset C(\mathfrak{k}_{ss})$, we have $\mathfrak{m}_L = Z(\mathfrak{k})$ and consequently $\mathfrak{g}[M] = \mathfrak{k}$.

It remains to prove our claim about the choice of h'' . We will consider the parabolic subalgebra \mathfrak{p}' defined via (4.1) by the fixed element h' and then we will choose h'' so

that \mathfrak{p} is a certain subalgebra of \mathfrak{p}' . Let E be the defining (n -dimensional) \mathfrak{g} -module. There is an isomorphism of $\mathfrak{k}_{ss} \oplus C(\mathfrak{k}_{ss})$ -modules

$$(5.1) \quad E \cong \oplus_i (E_i \otimes V_i),$$

where the E_i 's are pairwise non-isomorphic irreducible \mathfrak{k}_{ss} -modules and the V_i 's are irreducible $C(\mathfrak{k}_{ss})$ -modules. We have

$$(5.2) \quad C(\mathfrak{k}_{ss}) \cong \oplus_i \text{End}(V_i).$$

One can check that

$$(5.3) \quad \mathfrak{p}'_{red} = C(\mathfrak{h}_{\mathfrak{k}_{ss}}) \cong \oplus_{\lambda \in \mathfrak{h}_{\mathfrak{k}_{ss}}^*} \text{End}(E^\lambda),$$

where E^λ denotes the $\mathfrak{h}_{\mathfrak{k}_{ss}}$ -weight space of weight λ . Furthermore, by (5.1),

$$(5.4) \quad E^\lambda \cong \oplus_i (E_i^\lambda \otimes V_i).$$

Put $\mathcal{E}_{ij}^\lambda := \text{Hom}(E_i^\lambda, E_j^\lambda) \otimes \text{Hom}(V_i, V_j)$ and $\mathcal{E}^\lambda := \oplus_{i,j} \mathcal{E}_{ij}^\lambda$. Then combining (5.3) and (5.4) one obtains that $\mathfrak{p}'_{red} \cong \oplus_\lambda \mathcal{E}^\lambda$. Note that $\mathcal{E}_+^\lambda := \oplus_{i \leq j} \mathcal{E}_{ij}^\lambda$ is a parabolic subalgebra of \mathcal{E}^λ .

We now choose $h'' \in Z(\mathfrak{k})$ so that the parabolic subalgebra \mathfrak{p} associated to $h' + h''$ by (4.1) is precisely $(\oplus_\lambda \mathcal{E}_+^\lambda) \supseteq \mathfrak{n}_{\mathfrak{p}'}$. Note that $\mathfrak{p}_{red} = \oplus_{i,\lambda} \mathcal{E}_{i,i}^\lambda$. For each $\mathfrak{p} \cap \mathfrak{k}_{ss}$ -singular weight λ of \mathfrak{k} in E there is a unique index i_λ such that the $\mathfrak{p} \cap \mathfrak{k}_{ss}$ -highest weight of E_{i_λ} equals λ . Let $\mathfrak{a} := \oplus_\lambda \mathcal{E}_{i_\lambda i_\lambda}^\lambda$ and \mathfrak{a}' be the ideal complementary to \mathfrak{a} . Since $E_{i_\lambda}^\lambda$ is one-dimensional and $\mathcal{E}_{i_\lambda i_\lambda}^\lambda \cong \text{End}(V_{i_\lambda})$, equation (5.2) enables us to conclude that $C(\mathfrak{k}_{ss})$ is isomorphic to \mathfrak{a} . \square

Corollary 5.2. *A reductive in $\mathfrak{g} = \mathfrak{gl}(n)$ subalgebra \mathfrak{k} is a Fernando-Kac subalgebra of finite type if and only if the defining \mathfrak{g} -module is multiplicity free as a \mathfrak{k} -module.*

5.2. A combinatorial set-up. Let \mathfrak{h} be a Cartan subalgebra of $\mathfrak{g} = \mathfrak{gl}(n)$ and let $\mathfrak{l} \supset \mathfrak{h}$ be a root subalgebra of \mathfrak{g} . The subalgebra \mathfrak{l} is defined by its subset of roots $\Delta(\mathfrak{l}) \subset \Delta$, where $\Delta \subset \mathfrak{h}^*$ is the root system of \mathfrak{g} . Recall that $\Delta = \{\varepsilon_i - \varepsilon_j \mid 1 \leq i \neq j \leq n\}$ for an orthonormal basis $\varepsilon_1, \dots, \varepsilon_n$ of \mathfrak{h}^* . Set $\mathfrak{k} := \mathfrak{l}_{red}$ and $\mathfrak{n} := \mathfrak{n}_{\mathfrak{l}}$. Then $\mathfrak{l} = \mathfrak{k} \supseteq \mathfrak{n}$. Fix an arbitrary Borel subalgebra $\mathfrak{b} \subset \mathfrak{g}$ containing \mathfrak{h} , and let $\mathcal{S}_{\mathfrak{k}}(\mathfrak{g}) \subset \Delta$ be the set of weights of all $\mathfrak{k} \cap \mathfrak{b}$ -singular vectors in \mathfrak{g} . For any $\alpha \in \mathcal{S}_{\mathfrak{k}}(\mathfrak{g})$ denote by $\mathfrak{g}(\alpha)$ the irreducible \mathfrak{k} -submodule in \mathfrak{g} with highest weight α . Obviously any $\alpha, \beta \in \Delta$ satisfy the condition

$$(5.5) \quad \alpha + \beta \in \Delta \text{ for } \alpha, \beta \in \mathcal{S}_{\mathfrak{k}}(\mathfrak{g}) \Rightarrow \alpha + \beta \in \mathcal{S}_{\mathfrak{k}}(\mathfrak{g}).$$

More generally, let for any \mathfrak{k} -submodule \mathfrak{f} of \mathfrak{g} , $\mathcal{S}_{\mathfrak{k}}(\mathfrak{f})$ denote the set of all weights of $\mathfrak{k} \cap \mathfrak{b}$ -singular vectors in \mathfrak{f} . As \mathfrak{k} and \mathfrak{n} are subalgebras, $\mathcal{S}_{\mathfrak{k}}(\mathfrak{n})$ and $\mathcal{S}_{\mathfrak{k}}(\mathfrak{k})$ satisfy the analog of condition (5.5).

The following lemma is an easy consequence of the description of root subalgebras in $\mathfrak{gl}(n)$ and we leave its proof to the reader.

Lemma 5.3. *There exist pairwise non-intersecting subsets $I, J, K \subset \{1, \dots, n\}$ such that $|I| = |J|$ and*

$$\mathcal{S}_{\mathfrak{f}}(\mathfrak{g}) = \{\varepsilon_i - \varepsilon_j \mid i \in I \cup K, j \in J \cup K\}.$$

Let $\mathcal{C}_{\mathfrak{f}}(\mathfrak{f})$ denote the set of all linear combinations of vectors from $\mathcal{S}_{\mathfrak{f}}(\mathfrak{f})$ with coefficients in \mathbb{Z}_+ .

Lemma 5.4. *Let $\mathfrak{g} = \mathfrak{gl}(n)$. If $\mathcal{C}_{\mathfrak{f}}(\mathfrak{g}/\mathfrak{l}) \cap \mathcal{C}_{\mathfrak{f}}(\mathfrak{n}) \neq \{0\}$, one of the following relations holds*

- (1) $\alpha_1 + \alpha_2 = \beta_1 + \beta_2$,
- (2) $\alpha_1 + \alpha_2 = \beta$

for some $\alpha_1, \alpha_2 \in \mathcal{S}_{\mathfrak{f}}(\mathfrak{g}/\mathfrak{l})$, $\beta_1, \beta_2, \beta \in \mathcal{S}_{\mathfrak{f}}(\mathfrak{n})$, where $(\alpha_1, \alpha_2) = (\beta_1, \beta_2) = 0$ in the case of 1.

Proof. If $\mathcal{C}_{\mathfrak{f}}(\mathfrak{g}/\mathfrak{l}) \cap \mathcal{C}_{\mathfrak{f}}(\mathfrak{n}) \neq \{0\}$, there is a non-trivial relation

$$(5.6) \quad \alpha_1 + \dots + \alpha_k = \beta_1 + \dots + \beta_l$$

for $\alpha_i \in \mathcal{S}_{\mathfrak{f}}(\mathfrak{g}/\mathfrak{l})$ and $\beta_i \in \mathcal{S}_{\mathfrak{f}}(\mathfrak{n})$. Among all such relations we fix one with minimal k and minimal l for the fixed k . Consider first the case when $\alpha_1 + \alpha_p \in \mathcal{S}_{\mathfrak{f}}(\mathfrak{g})$ for some $p \leq k$. We claim that then $\alpha_1 + \alpha_p \in \mathcal{S}_{\mathfrak{f}}(\mathfrak{n})$. For, if $\alpha_1 + \alpha_p \in \mathcal{S}_{\mathfrak{f}}(\mathfrak{g}/\mathfrak{l})$, one can reduce k in (5.6) by the substitution $\beta = \alpha_1 + \alpha_p$, which contradicts our assumption. Thus $\beta := \alpha_1 + \alpha_p \in \mathcal{S}_{\mathfrak{f}}(\mathfrak{n})$, and to show that $\alpha_1 + \alpha_p = \beta$ is a relation of type 2 we need only verify that $\alpha_1, \alpha_p \notin \mathcal{S}_{\mathfrak{f}}(\mathfrak{n})$. But the assumption $\alpha_1 \in \mathcal{S}_{\mathfrak{f}}(\mathfrak{n})$ (and similarly $\alpha_p \in \mathcal{S}_{\mathfrak{f}}(\mathfrak{n})$) is obviously contradictory, as then $-\alpha_1 \in \Delta(\mathfrak{n})$ and $\alpha_p = \alpha_1 + \alpha_p - \alpha_1 = \beta - \alpha_1 \in \Delta(\mathfrak{n})$. Therefore $\alpha_1, \alpha_p \in \mathcal{S}_{\mathfrak{f}}(\mathfrak{g}/\mathfrak{l})$, $\beta \in \mathcal{S}_{\mathfrak{f}}(\mathfrak{n})$ and $\alpha_1 + \alpha_p = \beta$.

In the remainder of the proof we assume that $\alpha_1 + \alpha_p \notin \mathcal{S}_{\mathfrak{f}}(\mathfrak{g})$ for all $p \leq k$. If $\alpha_1 = \varepsilon_i - \varepsilon_j$, then ε_i and $-\varepsilon_j$ appear in $\alpha_1 + \dots + \alpha_k$ with positive coefficients. Therefore, there exist a and b such that $\beta_a = \varepsilon_i - \varepsilon_r$ and $\beta_b = \varepsilon_s - \varepsilon_j$, $s \neq r$ by minimality. By Lemma 5.3 $\gamma := \varepsilon_s - \varepsilon_r \in \mathcal{S}_{\mathfrak{f}}(\mathfrak{g})$. We claim that $\gamma \in \mathcal{S}_{\mathfrak{f}}(\mathfrak{g}/\mathfrak{l})$. Indeed, assume to the contrary that $\gamma \in \mathcal{S}_{\mathfrak{f}}(\mathfrak{n})$. Then one can modify (5.6) by removing α_1 and replacing $\beta_a + \beta_b$ by γ . Since (5.6) is minimal, the new relation must be trivial. Thus $\alpha_1 = \beta_1 + \dots + \beta_l$. Since $\beta_1 + \dots + \beta_l \in \Delta$, $\beta := \beta_1 + \dots + \beta_l \in \mathcal{S}_{\mathfrak{f}}(\mathfrak{n})$, and hence $\alpha = \beta \in \mathcal{S}_{\mathfrak{f}}(\mathfrak{n})$. Contradiction. Therefore indeed $\gamma \in \mathcal{S}_{\mathfrak{f}}(\mathfrak{g}/\mathfrak{l})$, and we have a relation $\alpha_1 + \gamma = \beta_a + \beta_b$, where $\alpha_1, \gamma \in \mathcal{S}_{\mathfrak{f}}(\mathfrak{g}/\mathfrak{l})$, $\beta_a, \beta_b \in \mathcal{S}_{\mathfrak{f}}(\mathfrak{n})$. Obviously, $(\alpha_1, \gamma) = (\beta_a, \beta_b) = 0$. To complete the proof we need to show that $\alpha_1, \gamma \in \mathcal{S}_{\mathfrak{f}}(\mathfrak{g}/\mathfrak{l})$. But the assumption $\alpha_1 \in \mathcal{S}_{\mathfrak{f}}(\mathfrak{n})$ (and similarly $\gamma \in \mathcal{S}_{\mathfrak{f}}(\mathfrak{n})$) is contradictory as it implies $\beta_b - \alpha_1 \in \Delta(\mathfrak{n})$. Hence $\gamma = \beta_a + (\beta_b - \alpha_1) \in \Delta(\mathfrak{n})$. \square

Corollary 5.5. $\mathcal{C}_{\mathfrak{f}}(\mathfrak{g}/\mathfrak{l}) \cap \mathcal{C}_{\mathfrak{f}}(\mathfrak{n}) = \{0\}$ if and only if $\mathcal{C}_{\mathfrak{f}}(\mathfrak{g}/\mathfrak{l}) \cap \mathcal{C}_{\mathfrak{f}}(\mathfrak{n}) = \{0\}$.

Proof. As $\mathcal{C}_{\mathfrak{f}}(\mathfrak{g}/\mathfrak{l}) \subset \mathcal{C}_{\mathfrak{f}}(\mathfrak{g}/\mathfrak{n})$, $\mathcal{C}_{\mathfrak{f}}(\mathfrak{g}/\mathfrak{n}) \cap \mathcal{C}_{\mathfrak{f}}(\mathfrak{n}) = \{0\}$ implies $\mathcal{C}_{\mathfrak{f}}(\mathfrak{g}/\mathfrak{l}) \cap \mathcal{C}_{\mathfrak{f}}(\mathfrak{n}) = \{0\}$. To prove the converse assume that $\mathcal{C}_{\mathfrak{f}}(\mathfrak{g}/\mathfrak{l}) \cap \mathcal{C}_{\mathfrak{f}}(\mathfrak{n}) = \{0\}$ but $\mathcal{C}_{\mathfrak{f}}(\mathfrak{g}/\mathfrak{n}) \cap \mathcal{C}_{\mathfrak{f}}(\mathfrak{n}) \neq \{0\}$. Then by Lemma 5.4 one has a relation 1 or 2 with $\alpha_1, \alpha_2 \in \mathcal{S}_{\mathfrak{f}}(\mathfrak{g}/\mathfrak{l})$. Hence $\mathcal{C}_{\mathfrak{f}}(\mathfrak{g}/\mathfrak{l}) \cap \mathcal{C}_{\mathfrak{f}}(\mathfrak{n}) \neq \{0\}$. Contradiction. \square

Lemma 5.6. *Let $\mathfrak{s} = \mathfrak{gl}(m)$, $\mathfrak{q} \subset \mathfrak{s}$ be a maximal parabolic subalgebra, and $\mathfrak{k} := \mathfrak{q}_{red}$. Let V_κ be the irreducible \mathfrak{s} -module with highest weight κ and $V_\mu(\mathfrak{k})$ be the irreducible \mathfrak{k} -module with highest weight μ . If λ is a dominant \mathfrak{k} -integral weight and β is the highest root of \mathfrak{s} , then, for large enough $q \in \mathbb{Z}_+$, the multiplicity of $V_{\lambda+q\beta}(\mathfrak{k})$ in $V_{\lambda+p\beta}$ is one for any $p \in \mathbb{Z}_+, p \geq q$.*

Proof. Set $\mu := \lambda + p\beta$, $\nu := \lambda + q\beta$ for fixed $p \geq q \in \mathbb{Z}_+$. Note that μ and ν are \mathfrak{k} -dominant and hence $V_\nu(\mathfrak{k})$ is finite-dimensional. If M_μ denotes the Verma module over \mathfrak{s} and $M_\mu(\mathfrak{k})$ denotes the Verma module over \mathfrak{k} , then M_μ is isomorphic to $M_\mu(\mathfrak{k}) \otimes S(\mathfrak{q}/\mathfrak{k})^*$ as a \mathfrak{k} -module. Thus M_μ admits a filtration by \mathfrak{k} -submodules such that the associated graded \mathfrak{k} -module is a direct sum of Verma modules over \mathfrak{k} , each appearing with finite multiplicity. As the multiplicity of the weight $(q-p)\beta$ in $S(\mathfrak{q}/\mathfrak{k})^*$ is one, the multiplicity of $M_\nu(\mathfrak{k})$ in M_μ is one. Therefore the multiplicity of $V_\nu(\mathfrak{k})$ in M_μ is also one.

Now let $N \neq V_\mu$ be an irreducible subquotient of M_μ . We will show that, for q large, the multiplicity of $V_\nu(\mathfrak{k})$ in N is zero. It is known (see for example Theorem 7.6.23 [Dix]) that N is a subquotient of $M_{w_\alpha(\mu+\rho)-\rho}$ for some positive root α such that $(\mu, \alpha) \in \mathbb{Z}_+$. Therefore it suffices to prove that the multiplicity of $M_\nu(\mathfrak{k})$ in $M_{w_\alpha(\mu+\rho)-\rho}$ is zero. This is equivalent to showing that $w_\alpha(\mu + \rho) - \rho - \nu$ is not a weight of $S(\mathfrak{q}/\mathfrak{k})$, i.e. that $w_\alpha(\mu + \rho) - \rho - \nu$ does not belong to the convex hull \mathcal{C} of $\Delta(\mathfrak{q}/\mathfrak{k})$.

Choose q so that $(\nu, \alpha) > 0$ for any positive α satisfying $(\alpha, \beta) = 1$, and assume $p \geq q$. First consider the case when $(\alpha, \beta) = 0$. Here $w_\alpha(\mu + \rho) - \rho - \nu = w_\alpha(\nu + \rho) - \rho - \nu + (p-q)\beta$. But $w_\alpha(\nu + \rho) - \rho - \nu = a\alpha$ for some negative a , which implies that $w_\alpha(\mu + \rho) - \rho - \nu = (p-q)\beta + a\alpha$ does not belong to \mathcal{C} . Next consider the case when $(\alpha, \beta) = 1$. Here $w_\alpha(\mu + \rho) - \rho - \nu = w_\alpha(\nu + \rho) - \rho - \nu + (p-q)w_\alpha(\beta) = -(b+1+p-q)\alpha + (p-q)\beta$, where $b = (\nu, \alpha)$ is positive by our choice of q . One can see that $-(b+1+p-q)\alpha + (p-q)\beta$ is not in \mathcal{C} . Finally, the case $\alpha = \beta$ is obvious. \square

Corollary 5.7. *Let $\mathfrak{s} = \mathfrak{s}_1 \oplus \dots \oplus \mathfrak{s}_j$ where each \mathfrak{s}_i is isomorphic to $\mathfrak{gl}(m_i)$, \mathfrak{q}_i be a maximal parabolic subalgebra of \mathfrak{s}_i and β_i be the highest root of \mathfrak{s}_i . Let $\mathfrak{q} = \mathfrak{q}_1 \oplus \dots \oplus \mathfrak{q}_l \oplus \mathfrak{s}_{l+1} \oplus \dots \oplus \mathfrak{s}_j$ for $l \leq j$, \mathfrak{k} be the reductive part of \mathfrak{q} , and $\beta = \beta_1 + \dots + \beta_l$. If λ is a dominant \mathfrak{k} -integral weight, then there is a positive integer q such that the multiplicity of $V_{\lambda+q\beta}(\mathfrak{k})$ in $V_{\lambda+p\beta}$ is one for any $p \geq q$.*

Proof. The statement follows easily from Lemma 5.6 as an irreducible highest weight module over a direct sum of reductive Lie algebras is isomorphic to the tensor product of irreducible modules over the components. \square

5.3. Description of Fernando-Kac root subalgebras of finite type.

Theorem 5.8. *A root subalgebra $\mathfrak{l} = (\mathfrak{k} \bowtie \mathfrak{n}) \subset \mathfrak{g} = \mathfrak{gl}(n)$ is a Fernando-Kac subalgebra of finite type if and only if $\mathcal{C}_\mathfrak{k}(\mathfrak{g}/\mathfrak{l}) \cap \mathcal{C}_\mathfrak{k}(\mathfrak{n}) = \{0\}$.*

Proof. First, we will show that if $\mathcal{C}_{\mathfrak{k}}(\mathfrak{g}/\mathfrak{l}) \cap \mathcal{C}_{\mathfrak{k}}(\mathfrak{n}) \neq \{0\}$, then \mathfrak{l} is not a Fernando-Kac subalgebra of finite type. If $\mathcal{C}_{\mathfrak{k}}(\mathfrak{g}/\mathfrak{l}) \cap \mathcal{C}_{\mathfrak{k}}(\mathfrak{n}) \neq 0$, Lemma 5.4 provides us with a relation of type 1 or 2. Assume that the relation is of type 2, i.e. $\alpha_1 + \alpha_2 = \beta$ for some $\alpha_1, \alpha_2 \in \mathcal{S}_{\mathfrak{k}}(\mathfrak{g}/\mathfrak{l})$, $\beta \in \mathcal{S}_{\mathfrak{k}}(\mathfrak{n})$. Let \mathfrak{s} be the subalgebra generated by \mathfrak{k} and $\mathfrak{g}^{\pm\beta}$, and \mathfrak{q} be the subalgebra generated by \mathfrak{k} and \mathfrak{g}^{β} . Then the triple $(\mathfrak{s}, \mathfrak{q}, \beta)$ satisfies the hypothesis of Corollary 5.7 with $l = 1$. Moreover, $\mathfrak{g}(\beta)$ commutes with \mathfrak{g}^{α_i} .

Let M be an irreducible strict $(\mathfrak{g}, \mathfrak{l})$ -module. There exists a $\mathfrak{b} \cap \mathfrak{k}$ -singular vector $v \in M$ such that $\mathfrak{g}(\beta)v = 0$. Let λ denote the weight of v . For any positive integer t , set $v_t = (g^{\alpha_1})^t (g^{\alpha_2})^t v$ for $0 \neq g^{\alpha_i} \in \mathfrak{g}^{\alpha_i}$. As g^{α_i} acts freely on M , we have $v_t \neq 0$. Furthermore v_t is $\mathfrak{b} \cap \mathfrak{k}$ -singular and $\mathfrak{g}(\beta)v_t = 0$. Hence v_t generates an \mathfrak{s} -submodule $M(v_t) \subset M$ of highest weight $\lambda + t\beta$. By Corollary 5.7, for a fixed large $r \in \mathbb{Z}_+$, the multiplicity of $V_{\lambda+r\beta}$ in $M(v_t)$ is not zero for any $t \geq r$. Therefore the multiplicity of $V_{\lambda+r\beta}$ in M is infinite. Contradiction.

In the case of a relation of type 1, $\alpha_1 + \alpha_2 = \beta_1 + \beta_2$, let $\mathfrak{s} \subset \mathfrak{g}$ be the subalgebra generated by \mathfrak{k} , $\mathfrak{g}^{\pm\beta_1}$ and $\mathfrak{g}^{\pm\beta_2}$, and $\mathfrak{q} \subset \mathfrak{s}$ be the subalgebra generated by \mathfrak{k} , \mathfrak{g}^{β_1} and \mathfrak{g}^{β_2} . The reader can check that the triple $(\mathfrak{s}, \mathfrak{q}, \beta)$ satisfies the conditions of Corollary 5.7 with $l = 2$, and moreover that $\mathfrak{g}(\beta_1) \oplus \mathfrak{g}(\beta_2)$ commutes with \mathfrak{g}^{α_i} . Therefore, an argument similar to that in the case of a relation of type 2, leads to a contradiction.

It remains to prove that \mathfrak{l} is a Fernando-Kac subalgebra of finite type whenever $\mathcal{C}_{\mathfrak{k}}(\mathfrak{g}/\mathfrak{l}) \cap \mathcal{C}_{\mathfrak{k}}(\mathfrak{n}) = \{0\}$. Using Theorem 4.3 we will construct an irreducible strict $(\mathfrak{g}, \mathfrak{l})$ -module M of finite type over \mathfrak{l} .

Note first that $\mathcal{C}_{\mathfrak{k}}(\mathfrak{g})$ consist of \mathfrak{k} -dominant roots, and therefore $\mathcal{C}_{\mathfrak{k}}(\mathfrak{g}) \cap -\mathcal{C}_{\mathfrak{k}}(\mathfrak{g}) = \mathcal{C}_{\mathfrak{k}}(C(\mathfrak{k}_{ss}))$ and $\mathcal{S}_{\mathfrak{k}}(\mathfrak{g}) \cap -\mathcal{S}_{\mathfrak{k}}(\mathfrak{g}) = \mathcal{S}_{\mathfrak{k}}(C(\mathfrak{k}_{ss}))$. Furthermore, as \mathfrak{n} is nilpotent, $\mathcal{C}_{\mathfrak{k}}(\mathfrak{n}) \cap -\mathcal{C}_{\mathfrak{k}}(\mathfrak{n}) = \{0\}$. Let $\mathcal{C}_0 = \mathcal{C}_{\mathfrak{k}}(\mathfrak{g}/\mathfrak{n}) \cap -\mathcal{C}_{\mathfrak{k}}(\mathfrak{g}/\mathfrak{n})$, and $\Delta_0 = \mathcal{S}_{\mathfrak{k}}(\mathfrak{g}/\mathfrak{n}) \cap -\mathcal{S}_{\mathfrak{k}}(\mathfrak{g}/\mathfrak{n})$. The above implies immediately that $\Delta_0 \subset \mathcal{S}_{\mathfrak{k}}(C(\mathfrak{k}_{ss}))$ and Δ_0 generates \mathcal{C}_0 . By Corollary 5.5, $\mathcal{C}_{\mathfrak{k}}(\mathfrak{g}/\mathfrak{n}) \cap \mathcal{C}_{\mathfrak{k}}(\mathfrak{n}) = \{0\}$. Therefore one can find $h \in \mathfrak{h}$ such that all eigenvalues of $\text{ad}_h : \mathfrak{g} \rightarrow \mathfrak{g}$ are rational and

$$(5.7) \quad \begin{aligned} \alpha(h) &> 0 & \text{for } \alpha \in \mathcal{S}_{\mathfrak{k}}(\mathfrak{n}), \\ \alpha(h) &= 0 & \text{for } \alpha \in \Delta_0, \\ \alpha(h) &< 0 & \text{for } \alpha \in \mathcal{S}_{\mathfrak{k}}(\mathfrak{g}/(\mathfrak{n} + C(\mathfrak{k}_{ss}))). \end{aligned}$$

One can easily verify that, in addition, h can be chosen so that

$$(5.8) \quad \alpha(h) < 0 \text{ for all } \alpha \in \Delta(\mathfrak{b} \cap \mathfrak{k}_{ss}).$$

Let \mathfrak{p} be defined by (4.1). Then $\Delta(\mathfrak{p}_{red}) = \Delta_0$, and $\mathfrak{n} \subset \mathfrak{n}_{\mathfrak{p}}$.

Let L be an irreducible $(\mathfrak{p}, \mathfrak{h})$ -module of finite type over \mathfrak{h} with trivial action of $\mathfrak{n}_{\mathfrak{p}}$ and such that $\mathfrak{p}_{red}[L] = \mathfrak{h}$. Such L exists as \mathfrak{p}_{ss} is a sum of ideals of type A. Let M be as in Section 4.3. Then by Theorem 4.3, M is an irreducible $(\mathfrak{g}, \mathfrak{k})$ -module of finite type over \mathfrak{k} . Let $\mathfrak{g}[M] = \mathfrak{k} \ni \mathfrak{n}'$. We claim that $\mathfrak{n}' = \mathfrak{n}$. Indeed $\mathfrak{g}(\alpha) \subset \mathfrak{n}'$ if and only if $\mathfrak{g}(\alpha) \subset \mathfrak{p}[L]$. In particular, $\alpha(h) \geq 0$. If $\alpha(h) > 0$, then by (5.7) and (5.8) $\mathfrak{g}(\alpha) \subset \mathfrak{n} \subset \mathfrak{n}_{\mathfrak{p}} \subset \mathfrak{p}[L]$. If $\alpha(h) = 0$, then $\alpha \in \Delta_0$. As $\mathfrak{p}_{red}(L) = \mathfrak{h}$, we have $\mathfrak{g}(\alpha) \not\subset \mathfrak{p}[L]$. Thus $\mathfrak{n} = \mathfrak{n}'$. Theorem 5.8 is proven. \square

Corollary 5.9. *A root subalgebra $\mathfrak{l} = (\mathfrak{k} \ni \mathfrak{n}) \subset \mathfrak{gl}(n)$ with $\mathfrak{n} \subset C(\mathfrak{k}_{ss})$ is a Fernando-Kac subalgebra of finite type if and only if \mathfrak{n} is the nilradical of a parabolic subalgebra in $C(\mathfrak{k}_{ss})$.*

Proof. For the necessity see Theorem 3.1 5. For the sufficiency we use Theorem 5.8. By hypothesis \mathfrak{n} is the nilradical of a parabolic subalgebra in $C(\mathfrak{k}_{ss})$. We will show that $\mathcal{C}_{\mathfrak{k}}(\mathfrak{g}/\mathfrak{l}) \cap \mathcal{C}_{\mathfrak{k}}(\mathfrak{n}) = \{0\}$. Suppose not. Then there exist roots $\alpha_1, \dots, \alpha_k \in \mathcal{C}_{\mathfrak{k}}(\mathfrak{n}/\mathfrak{l})$ and roots $\beta_1, \dots, \beta_l \in \mathcal{C}_{\mathfrak{k}}(\mathfrak{n})$ such that (5.6) holds. Restrict both sides of (5.6) to $\mathfrak{h}_{\mathfrak{k}_{ss}}$ and write $\tilde{\gamma}$ for the restriction of a weight γ to $\mathfrak{h}_{\mathfrak{k}_{ss}}$. Because $\mathfrak{n} \subset C(\mathfrak{k}_{ss})$, $\tilde{\beta}_i = 0$ for all i and hence $\tilde{\alpha}_1 + \dots + \tilde{\alpha}_l = 0$. But the $\tilde{\alpha}_j$'s are dominant weights for \mathfrak{k}_{ss} . Therefore $\tilde{\alpha}_j = 0$ for all j , and each $\alpha_j \in \mathcal{C}_{\mathfrak{k}}(C(\mathfrak{k}_{ss})) = \Delta(C(\mathfrak{k}_{ss}))$. Equation (5.6) becomes a nontrivial relation among roots in $\Delta(\mathfrak{n})$ and $\Delta(C(\mathfrak{k}_{ss})) \setminus \Delta(\mathfrak{n})$. Contradiction. \square

Example. Let $\mathfrak{g} = \mathfrak{gl}(4)$, \mathfrak{h} be the diagonal subalgebra, and $\mathfrak{l} \supset \mathfrak{h}$ be a root subalgebra of \mathfrak{g} . The rank of \mathfrak{l}_{ss} can be 0, 1 or 2. In the first case \mathfrak{l} is solvable, and, by Proposition 3.2, \mathfrak{l} is of finite type if and only if $\mathfrak{n}_{\mathfrak{l}}$ is the nilradical of a parabolic subalgebra. In the third case \mathfrak{l}_{red} equals the fixed points of an involution $\theta : \mathfrak{g} \rightarrow \mathfrak{g}$ and \mathfrak{l} is always a Fernando subalgebra of finite type: the corresponding strict $(\mathfrak{g}, \mathfrak{l})$ -modules are Harish-Chandra modules.

In the case when $\mathfrak{l}_{ss} \cong \mathfrak{sl}(2)$ we can fix the roots of \mathfrak{l}_{ss} to be $\pm(\varepsilon_1 - \varepsilon_2)$. To determine \mathfrak{l} we need to specify the roots of $\mathfrak{n}_{\mathfrak{l}}$. Up to automorphisms of \mathfrak{g} that stabilize \mathfrak{l}_{ss} there are eight choices for $\mathfrak{n}_{\mathfrak{l}}$ (including the possibility $\mathfrak{n}_{\mathfrak{l}} = 0$). A direct checking based on Theorem 5.8 and Corollary 5.9 shows that there is a single choice of $\mathfrak{n}_{\mathfrak{l}}$ for which \mathfrak{l} is not a Fernando-Kac subalgebra of finite type. We may normalize this \mathfrak{l} so that the roots in $\mathfrak{n}_{\mathfrak{l}}$ are $\varepsilon_1 - \varepsilon_3$ and $\varepsilon_2 - \varepsilon_3$. Furthermore, the so defined $\mathfrak{l} = \mathfrak{l}_{red} \ni \mathfrak{n}_{\mathfrak{l}}$ satisfies conditions 1–5 in Theorem 3.1. This shows in particular that the conditions in Theorem 3.1 are not sufficient for a subalgebra \mathfrak{l} to be a Fernando-Kac subalgebra of finite type.

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