

3.4 Whitney Embedding Theorem

Session 15
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Result: embedding of a smooth m -dim. manifold M into \mathbb{R}^{2m+1}

two steps: 1) if embedding into \mathbb{R}^N for $N > 2m+1$, then \exists embedding into \mathbb{R}^{2m+1}
2) embedding into some \mathbb{R}^N

Here: consider only M compact, since then:

Recall: M compact, $F: M \rightarrow N$ smooth injective immersion $\Rightarrow F$ embedding
 df_p injective $\forall p \in M$

We use Sard's thm. to show:

Corollary: let $F: M \rightarrow N$ be smooth. Then the set of regular values of F is dense.
(Dense = interior of complement empty).

Proof: Cover M with countably many open U_i , choose open V_i s.t. $\overline{V_i} \subset U_i$ and $\{V_i\}$ still a cover. Set $C_F =$ critical points of F .

Then, write $F(C_F) = F\left(\bigcup_{i=1}^{\infty} \overline{V_i} \cap C_F\right) = \bigcup_{i=1}^{\infty} \underbrace{F(\overline{V_i} \cap C_F)}_{\text{compact set of measure zero (Sard)}} \\ \Rightarrow \text{empty interior}$

$\Rightarrow F(C_F)$ has empty interior $\Rightarrow F(R_F)$ dense ($R_F =$ regular points) \square

this implies:

Lemma: let M be a compact smooth m -dim. manifold, N smooth n -dim. manifold, $m < n$, and $F: M \rightarrow N$ smooth. Then $N \setminus F(M)$ is a dense open subset of N .

Proof: $m < n$, so every $p \in M$ is a critical point $\Rightarrow F(M)$ has measure zero
 by previous corollary regular values $R_F = N \setminus F(M)$ dense
 M compact $\Rightarrow F(M)$ closed $\Rightarrow N \setminus F(M)$ open. \square

Def.: let $v \in S^{n-1} \subset \mathbb{R}^n$, then we def. the orthogonal projection

$$\pi_v(x) = x - \langle x, v \rangle v \quad \forall x \in \mathbb{R}^n.$$

Note: $\pi_v(v) = v - \underbrace{\langle v, v \rangle}_1 v = 0$
 $= 1 \text{ (} v \in S^{n-1} \text{)}$

Lemma: let M be a compact m -dim. smooth manifold, $F: M \rightarrow \mathbb{R}^n$ a smooth injective immersion, and $n > 2m+1$. Then there is a dense set of vectors $v \in S^{n-1}$ s.t. $\pi_v \circ F$ is a smooth inj. immersion $M \rightarrow \mathbb{R}^{n-1}$.

Proof: set $X = F(M) \subset \mathbb{R}^n$

• $\pi_v \circ F$ injective: need $\pi_v(x) \neq \pi_v(y) \quad \forall x, y \in X$, i.e.,

$$x - \langle x, v \rangle v \neq y - \langle y, v \rangle v \iff \frac{x-y}{\|x-y\|} \neq v$$

now def. $\Delta_X = \{ (x, x) : x \in X \}$, the diagonal of $X \times X$, and def.

$$h: \underbrace{X \times X \setminus \Delta_X}_{\dim=2m} \rightarrow \underbrace{S^{n-1}}_{\dim=n-1}, \quad h(x, y) = \frac{x-y}{\|x-y\|}$$

need $v \notin h(X \times X \setminus \Delta_X)$

by previous lemma a dense set of such v exists as long as $2m < n-1$.

• $\pi_V \circ F$ immersion: HW

Theorem (Whitney embedding compact case):

Any compact smooth m -dim. manifold M can be embedded into \mathbb{R}^{2m+1} .

Proof: show embedding into some \mathbb{R}^d , then $d=2m+1$ follows from previous lemma

M compact \Rightarrow can choose finite open cover $\{U_i\}_{i=1, \dots, N}$, corresponding charts (U_i, φ_i) .

choose new open cover $\{V_i\}$ s.t. $\overline{V_i} \subset U_i$.

def. bump fct.s $\rho_i: M \rightarrow \mathbb{R}$, s.t. $\rho_i|_{V_i} = 1$ and $\text{supp } \rho_i \subset U_i$

def. $F := (\rho_1 \varphi_1, \dots, \rho_m \varphi_m, \rho_1, \dots, \rho_m)$ ($\rho_i \varphi_i|_{(\text{supp } \rho_i)^c} = 0$)

HW: show that this F is injective and an immersion.

Since M compact, F is an embedding. □