

Let  $\mathcal{H}$  be a Hilbert space.

Recall: For a subset  $M \subset \mathcal{H}$ , we def.  $M^\perp := \{\psi \in \mathcal{H} : \langle \varphi, \psi \rangle = 0 \forall \varphi \in M\}$  ←  $M^\perp$  is a closed subspace

Theorem 3.15: Let  $M \subset \mathcal{H}$  be a closed subspace. Then  $\mathcal{H} = M \oplus M^\perp$ , meaning  $\forall \psi \in \mathcal{H}$

we have  $\psi = \varphi + \varphi^\perp$  with unique  $\varphi \in M, \varphi^\perp \in M^\perp$ .

Proof: We give the proof only for separable  $\mathcal{H}$ .

$\Rightarrow$  also  $M, M^\perp$  are separable Hilbert spaces with ONBs  $(\varphi_i)_i, (\varphi_j^\perp)_j$ .

Choose any  $\psi \in \mathcal{H}$ , def.  $\varphi = \sum_{i=1}^{\infty} \langle \varphi_i, \psi \rangle \varphi_i$ ,  $\varphi^\perp = \sum_{j=1}^{\infty} \langle \varphi_j^\perp, \psi \rangle \varphi_j^\perp$ , then

$\psi = \varphi + \varphi^\perp$  if  $(\varphi_i)_i \cup (\varphi_j^\perp)_j$  is an ONB of  $\mathcal{H}$ .

Check with Proposition 3.10: let  $\underbrace{\langle \varphi_i, \psi \rangle = 0} = \langle \varphi_j^\perp, \psi \rangle \forall i, j$

$\Rightarrow \langle \varphi_i, \psi \rangle = 0 \forall \varphi_i \in M \Rightarrow \psi \in M^\perp$

but since also  $\langle \varphi_j^\perp, \psi \rangle = 0 \forall \varphi_j^\perp \in M^\perp \Rightarrow \psi = 0$

Uniqueness: suppose there is another decomposition  $\psi = \underbrace{\tilde{\varphi}}_{\in M} + \underbrace{\tilde{\varphi}^\perp}_{\in M^\perp}$

$\Rightarrow \varphi + \varphi^\perp = \tilde{\varphi} + \tilde{\varphi}^\perp$ , i.e.,  $M \ni \varphi - \tilde{\varphi} = \tilde{\varphi}^\perp - \varphi^\perp \in M^\perp$

but  $M \cap M^\perp = \{0\}$  so  $\varphi = \tilde{\varphi}$  and  $\varphi^\perp = \tilde{\varphi}^\perp$ . □

Next topic: operators between normed spaces

In  $\mathbb{R}$ -dimensions, there are not just bounded, but also unbounded operators.

Bounded ones are nicer, so let us consider those first.

(Later: Hamiltonians  $H$  will be unbounded, but  $e^{-iHt}$  will be bounded.)

Definition 3.16: Let  $X$  and  $Y$  be normed spaces. A linear operator  $L: X \rightarrow Y$  is

bounded if  $\exists C < \infty$  with  $\underbrace{\|Lx\|_Y}_{\text{norm on } Y} \leq C \underbrace{\|x\|_X}_{\text{norm on } X} \quad \forall x \in X.$

Example: Multiplication operators  $M_v: L^p \rightarrow L^p$  for  $v \in L^\infty$  are bounded (HW 4 Problem 3).

Proposition 3.17: The space  $\mathcal{L}(X, Y) = \{L: X \rightarrow Y, L \text{ linear and bounded}\}$  with

norm  $\|L\|_{\mathcal{L}(X, Y)} := \sup_{\substack{x \in X \\ \|x\|_X = 1}} \|Lx\|_Y$  is itself a normed space.

If  $Y$  is a Banach space, also  $\mathcal{L}(X, Y)$  is a Banach space.

not necessarily  $X$

Proof: HW 7

Why are bounded operators so interesting? Because these are also the continuous ones!

(And since we deal with linear ones, it is enough to check continuity at 0.)

Lemma 3.18: Let  $L: X \rightarrow Y$  be linear ( $X, Y$  normed spaces). Then the following statements are equivalent: (i)  $L$  is continuous at  $0$ .  
(ii)  $L$  is continuous.  
(iii)  $L$  is bounded.

Proof: (iii)  $\Rightarrow$  (i): Let  $\|x_n\|_X \rightarrow 0 \Rightarrow \|Lx_n\|_Y \leq \|L\| \|x_n\|_X \rightarrow 0$

(i)  $\Rightarrow$  (ii): Let  $\|x_n - x\|_X \rightarrow 0 \Rightarrow \|Lx_n - Lx\|_Y \stackrel{\text{linearity}}{=} \|L(x_n - x)\|_Y \xrightarrow{\text{continuity at } 0} 0$

(ii)  $\Rightarrow$  (iii): suppose  $L$  not bounded, then  $\exists$  a sequence  $(x_n)_n$  with  $\|x_n\|_X = 1 \forall n \in \mathbb{N}$  and  $\|Lx_n\|_Y \geq c(n) \xrightarrow{n \rightarrow \infty} \infty$ . Defining  $z_n := \frac{x_n}{\|Lx_n\|_Y}$ , we have

$\|z_n\| = \frac{\|x_n\|_X}{\|Lx_n\|_Y} \leq \frac{1}{c(n)}$ , i.e.,  $z_n \xrightarrow{n \rightarrow \infty} 0$ . But  $\|Lz_n\|_Y = \frac{\|Lx_n\|_Y}{\|Lx_n\|_Y} = 1$ , which contradicts

continuity (at  $0$ ).

Note: by using subsequences (rescaling the index) we could even use  $c(n) = n$ .

What do unbounded operators look like? Much more later, here just one example:

Define  $\ell_0 = \{ (x_n)_n \in \ell^1 : \exists N \in \mathbb{N} \text{ s.t. } x_n = 0 \forall n \geq N \}$  with the norm

$\| (x_n)_n \|_{\ell^1} = \sum_{n=1}^{\infty} |x_n|$  (actually just a finite sum). Define  $T: \ell_0 \rightarrow \ell_0, x \mapsto Tx = (x_1, 2x_2, 3x_3, \dots)$ .

But if  $(e_k^{(n)})_k$  is the sequence with  $e_k^{(n)} = \begin{cases} 1 & \text{for } k=n \\ 0 & \text{otherwise} \end{cases}$ , in particular  $\|e^{(n)}\| = 1$ ,

then  $\|Te^{(n)}\| = n$ , i.e.,  $T$  is unbounded.

In the last chapter, we defined operators on  $S'$  by defining them on a dense subset and extending them by continuity (but we did not fully prove this). This can also be done here (for bounded = continuous operators):

Theorem 3.20: Let  $Z$  be a dense subspace of a normed space  $X$ , and let  $Y$  be a Banach space. Let  $L: Z \rightarrow Y$  be a linear bounded operator. Then  $L$  has a unique linear bounded extension  $\tilde{L}: X \rightarrow Y$  with  $\tilde{L}|_Z = L$  and  $\|\tilde{L}\|_{\mathcal{L}(X,Y)} = \|L\|_{\mathcal{L}(Z,Y)}$ .

$\tilde{L}$  and  $L$  coincide on  $Z$

Proof: Idea: using continuity we "fill in the gaps."

Choose some  $x \in X$ , then  $\exists$  sequence  $(z_n)_n$  in  $Z$  with  $\|z_n - x\|_X \rightarrow 0$

(using just density of  $Z$  in  $X$ ; note:  $x \in X$  is fixed, no completeness necessary).

$\Rightarrow (z_n)_n$  converges  $\Rightarrow (z_n)_n$  is a Cauchy sequence.

$\Rightarrow \|Lz_n - Lz_m\|_Y \stackrel{\text{linearity}}{=} \|L(z_n - z_m)\|_Y \leq \|L\|_{\mathcal{L}(Z,Y)} \|z_n - z_m\|_Z$ , i.e., also  $(Lz_n)_n$  is a

Cauchy sequence in  $Y$ . Since  $Y$  is complete,  $Lz_n \rightarrow y \in Y$ .

But is this  $y$  independent of the choice of sequence?

Yes: if  $\|z'_n - x\|_X \rightarrow 0$ , also the sequence  $(z_1, z'_1, z_2, z'_2, z_3, z'_3, \dots)$  converges to  $x$  and

as above  $(Lz_1, Lz'_1, Lz_2, Lz'_2, \dots)$  converges to some  $\tilde{y} \in Y$ . But every subsequence of a convergent sequence converges to the same limit.

So we def.  $\tilde{L}x := y$  with this construction.

$$\|\tilde{L}\|_{\mathcal{L}(X, Y)} \leq \|L\|_{\mathcal{L}(Z, Y)}$$

$\Rightarrow$  (and  $\|L\|_{\mathcal{L}(Z, Y)} \leq \|\tilde{L}\|_{\mathcal{L}(X, Y)}$  clear by def.)

$\hookrightarrow$  linearity clear

$\hookrightarrow$  boundedness:  $\|\tilde{L}x\|_Y = \lim_{n \rightarrow \infty} \|Lz_n\|_Y \leq \|L\|_{\mathcal{L}(Z, Y)} \|x\|_X \Rightarrow \tilde{L}$  continuous  
 $\leq \|L\|_{\mathcal{L}(Z, Y)} \|z_n\|_Z$

and continuity on a dense subset implies that this is the unique extension.  $\square$