

3. Differentiation in One Variable3.2 Theorems and Applications

Topic for Week 5B: Critical Points, Inflection Points, and Graph Sketching

First, we discuss critical points such as minima, maxima, cusps. Then, we discuss qualitative features of graphs of functions.

Definition: Let $f: (a,b) \rightarrow \mathbb{R}$. Then any point $c \in (a,b)$ with $f'(c) = 0$ or where $f'(c)$ does not exist is called **critical point**.

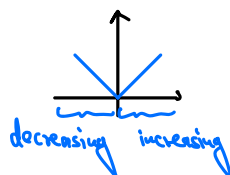
Ex.: • $f(x) = |x|$ has a critical point at $c = 0$ since $f'(0)$ does not exist there.
 • $f(x) = x^2$ has a critical point at $c = 0$ since $f'(0) = 0$ there.

} For both, $c = 0$ is a minimum.

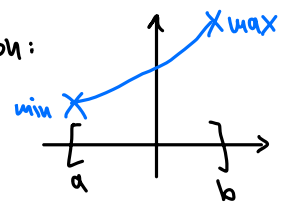
Note: • If f has a local extreme value (i.e., a max. or min.) at c , and is differentiable at c , then $f'(c) = 0$ (see Week 5A Session).

• If f is not differentiable at c , but is decreasing to the left and increasing to the right, it has a minimum. (Max. for increasing to the left and decreasing to the right.)

Ex.: $|x|$ at $x = 0$.



- Extreme values can also be endpoints of closed intervals of definition:



Sufficient conditions for the existence of an extreme value at x are:

- $f'(x)$ changes sign
- $f'(x) = 0$, $f''(x)$ exists and is not zero (and continuous near x):
 - If $f''(x) > 0$ then f' is increasing near x . Since $f'(x) = 0$, f' must change sign from $-$ to $+$, so f has a min. at x .
 - Analogous: If $f''(x) < 0$, then f has a max. at x .

Note: A point x where $f''(x)$ changes sign is called "point of inflection".

Example: $f(x) = \frac{x^2 - 1}{\sqrt{x^2 + 1}}$. Here, $f: \mathbb{R} \rightarrow \mathbb{R}$, so f is differentiable anywhere.

$$f'(x) = \frac{2x(x^2+1)^{\frac{1}{2}} - (x^2-1)^{\frac{1}{2}}(x^2+1)^{-\frac{1}{2}}2x}{x^2+1}$$

$$= \frac{2x(x^2+1) - x(x^2-1)}{(x^2+1)^{3/2}}$$

$$= \frac{x^3 + 3x}{(x^2+1)^{3/2}}$$

$$= \frac{x(x^2+3)}{(x^2+1)^{3/2}} \leftarrow \text{numerator } x(x^2+3) \text{ is } > 0 \text{ for } x > 0 \text{ and } < 0 \text{ for } x < 0$$

\leftarrow denominator is $> 0 \forall x \in \mathbb{R}$

$\Rightarrow f'(x) = 0$ at $x = 0$ and:

- $f'(x) > 0$ for $x > 0 \Rightarrow f$ is increasing for $x > 0$
- $f'(x) < 0$ for $x < 0 \Rightarrow f$ is decreasing for $x < 0$

$\Rightarrow f$ has a global minimum at $x = 0$.

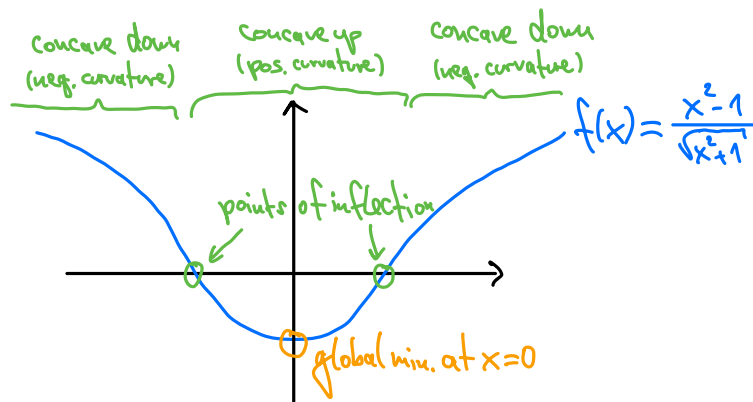
Check second derivative:

$$\begin{aligned} f''(x) &= \left(\frac{x(x^2+3)}{(x^2+1)^{3/2}} \right)' \\ &= \frac{[(x^2+3)+x \cdot 2x](x^2+1)^{3/2} - \frac{3}{2}(x^2+1)^{1/2} \cdot 2x \cdot (x^2+3)}{(x^2+1)^3} \\ &= \frac{(3x^2+3)(x^2+1) - 3(x^4+3x^2)}{(x^2+1)^{5/2}} \\ &= \frac{-3x^2+3}{(x^2+1)^{5/2}} \end{aligned}$$

$f''(0) = 3 > 0$, so we see again that the critical point $x=0$ is a minimum.

Moreover: $f''(x) = \frac{-3(x-1)(x+1)}{(x^2+1)^{5/2}}$ is changing signs at $x = \pm 1$

- At $x=-1$, f'' changes from $-$ to $+$
- At $x=1$, f'' changes from $+$ to $-$



In general, if we want to sketch the graph of a function with all qualitative features we have learnt about so far, we can proceed in the following way:

1. What is the domain of the function?
2. What are the y -intercepts ($f(0)$) and x -intercepts (solutions to $f(x)=0$)?
3. What are the horizontal and vertical asymptotes?
4. Discuss the first derivative: critical points and extrema, where is f increasing/decreasing?
5. Discuss the second derivative: points of inflection, where is f concave up/down?

We discuss examples in the Example Session.